

Neutrino oscillations: phenomenological overview

*Neutrinos at the forefront of elementary
particle physics and astrophysics,
Lyon, 22-24 Oct 2012*

Thomas Schwetz



Outline

- *Introduction*
- *Three-flavour analysis based on post-Neutrino2012 data*
 - ▶ θ_{13} (dependence on reactor fluxes)
 - ▶ non-maximality and octant of θ_{23}

*C. Gonzalez-Garcia, M. Maltoni,
J. Salvado, T.S., 1209.3023*



- *SBL anomalies and eV-scale sterile neutrinos*
work in prep. with J. Kopp, M. Maltoni, P. Machado

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Comments on global fits

- ▶ *D. Wark: “Better trust high-significant result from one experiment than low-significant result from global fit.”*
- *Better trust high-significant result.*
- ▶ *A. Rubbia (quoting E. Lisi): “Global fits cannot replace real data.”*
- *Global fits do not attempt to replace real data but get out the most of data.*

Global data on neutrino oscillations

from various neutrino sources and vastly different energy and distance scales:

sun



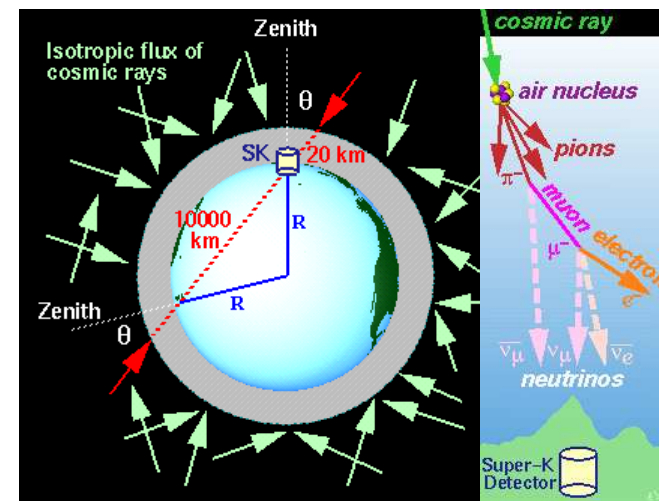
Homestake, SAGE, GALLEX
SuperK, SNO, Borexino

reactors



KamLAND, CHOOZ

atmosphere



SuperKamiokande

accelerators

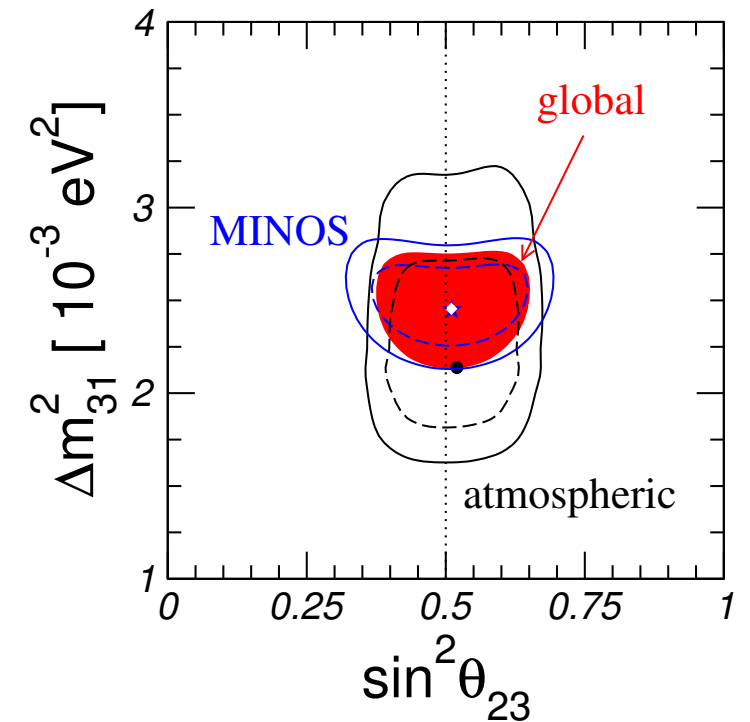
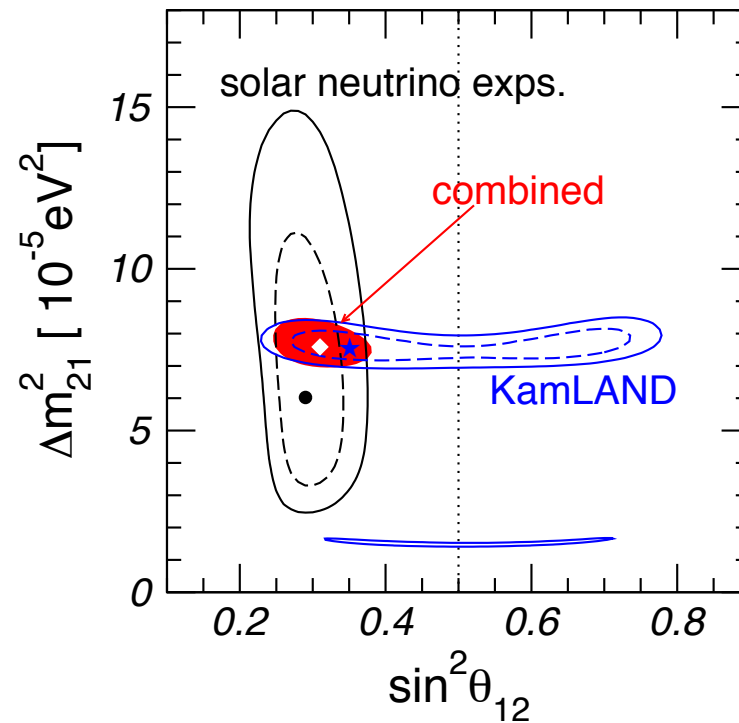


K2K, MINOS, T2K

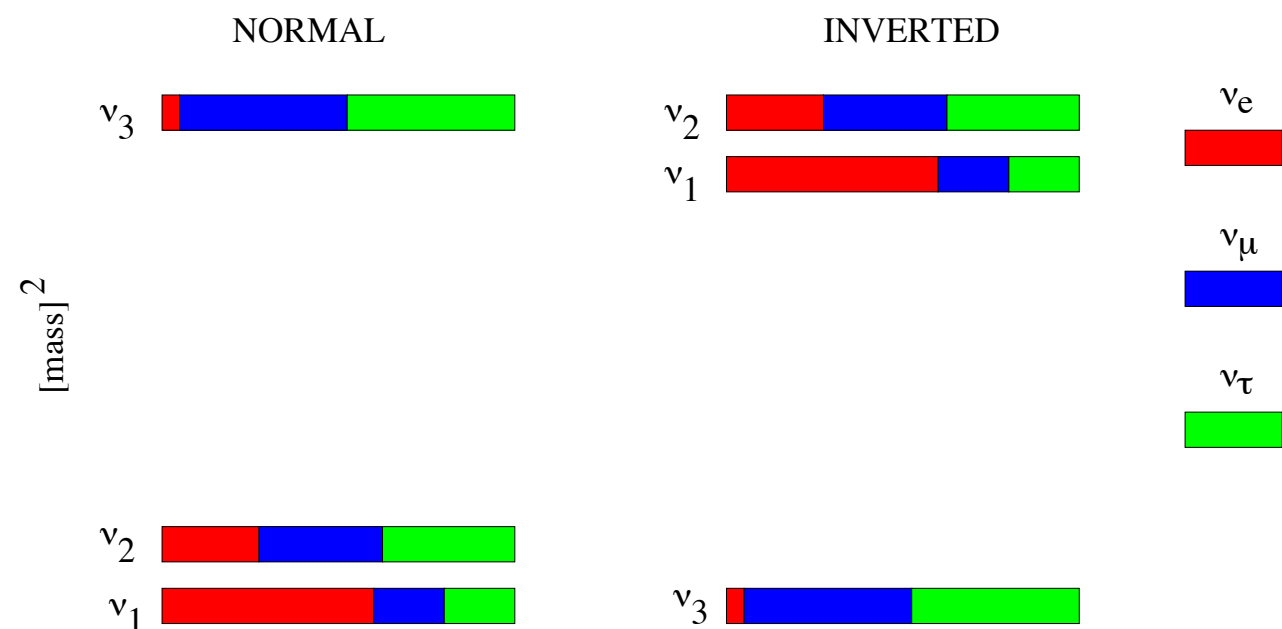
- ▶ global data fits nicely with the 3 neutrinos from the SM
- ▶ a few “anomalies” at $2-3 \sigma$: LSND, MiniBooNE, reactor anomaly, no LMA MSW up-turn of solar neutrino spectrum

Leading order oscillation picture

Dominant effective
2-flavour oscillation
modes:



schematic picture of
3-flavour oscillation
parameters:

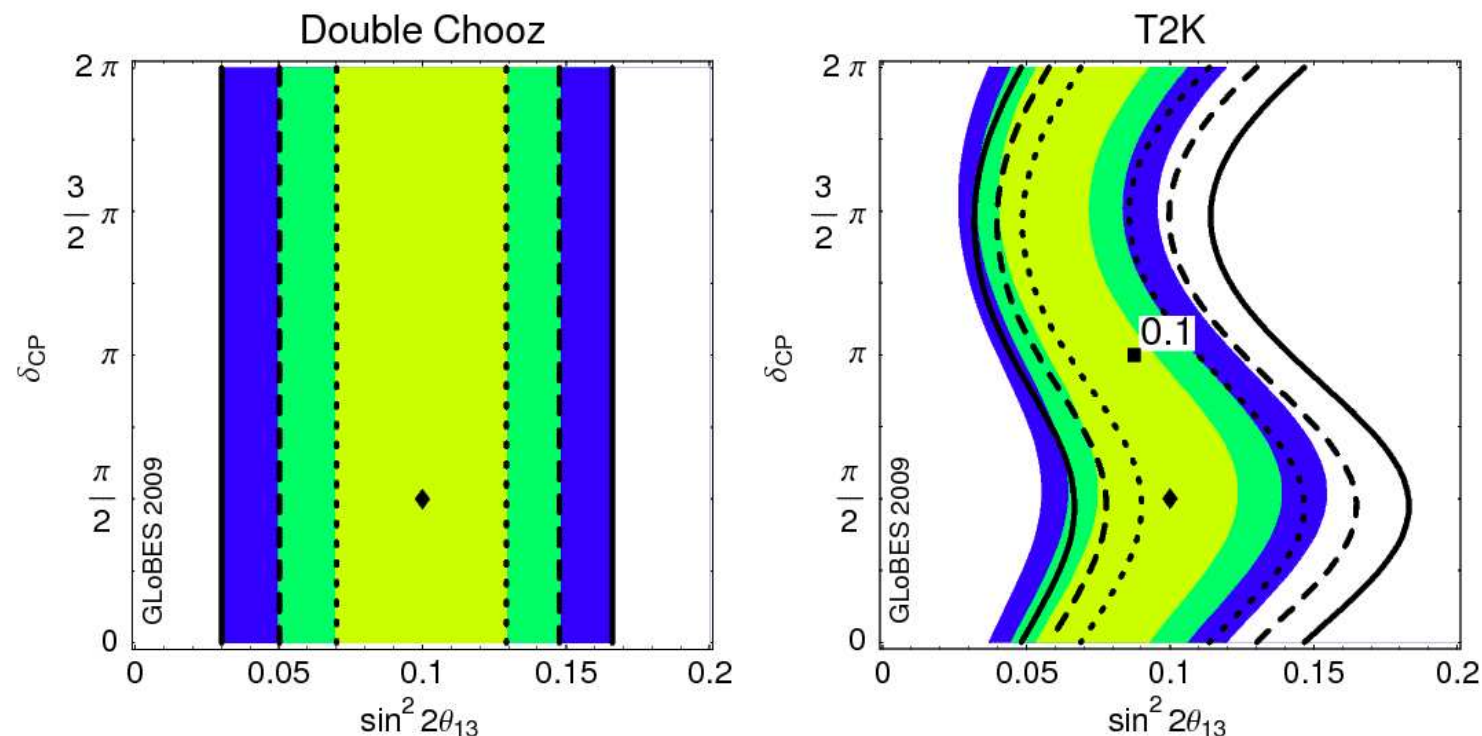


Effects of non-zero θ_{13}

transitions of ν_e involving Δm_{31}^2 :

- ▶ $\bar{\nu}_e$ disappearance at reactors with $L \simeq 1$ km
“clean” measurement of θ_{13} : $P \approx 1 - \sin^2 2\theta_{13} \sin^2(\Delta m_{31}^2 L/4E)$
- ▶ $\nu_\mu \rightarrow \nu_e$ transitions at accelerator experiments
complicated function of all osc parameters (CP phase δ)

simulation: assume $\sin^2 2\theta_{13} = 0.1$, $\delta = \pi/2$



The θ_{13} revolution see talks by D. Wark, A. Cabrera

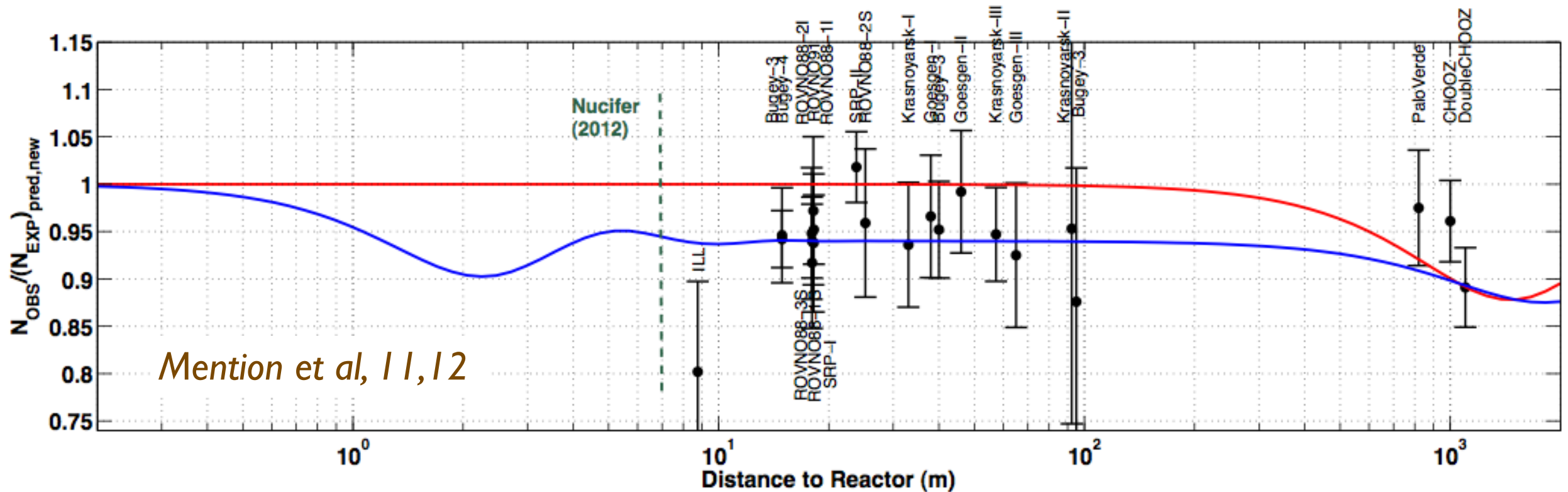
- Around June 2011: 6 events in T2K
(1.5 ± 0.3 bkg for $\theta_{13} = 0$): 2.5σ
 - ▶ global fits gave $>3\sigma$ for the first time
Fogli et al, 1106.6028; TS, Tortola, Valle 1108.1376
 - ▶ after ICHEP2012:
11 events in T2K (3.2 ± 0.4 bkg for $\theta_{13} = 0$): 3.2σ
- DoubleChooz (11.12), DayaBay (12.03), RENO (12.04)
- post-Neutrino2012:
 $\theta_{13} = 0$ disfavored at $\Delta\chi^2 \approx 100$ in the global fit

The reactor anomaly

- ▶ to predict the $\bar{\nu}_e$ flux from nuclear reactors one has to convert the measured e^- spectra from ^{235}U , ^{239}Pu , ^{241}Pu into neutrino spectra
Schreckenbach et al., 82, 85, 89
- ▶ recent improved calculation *Mueller et al., 1101.2663* $\sim 3\%$ higher fluxes (ab initio calculations + virtual branches for missing part)
- ▶ confirmed by independent calculation *P. Huber, 1106.0687* (virtual branches)
- ▶ increase of predicted number of neutrino-induced events compared to old flux calculations:

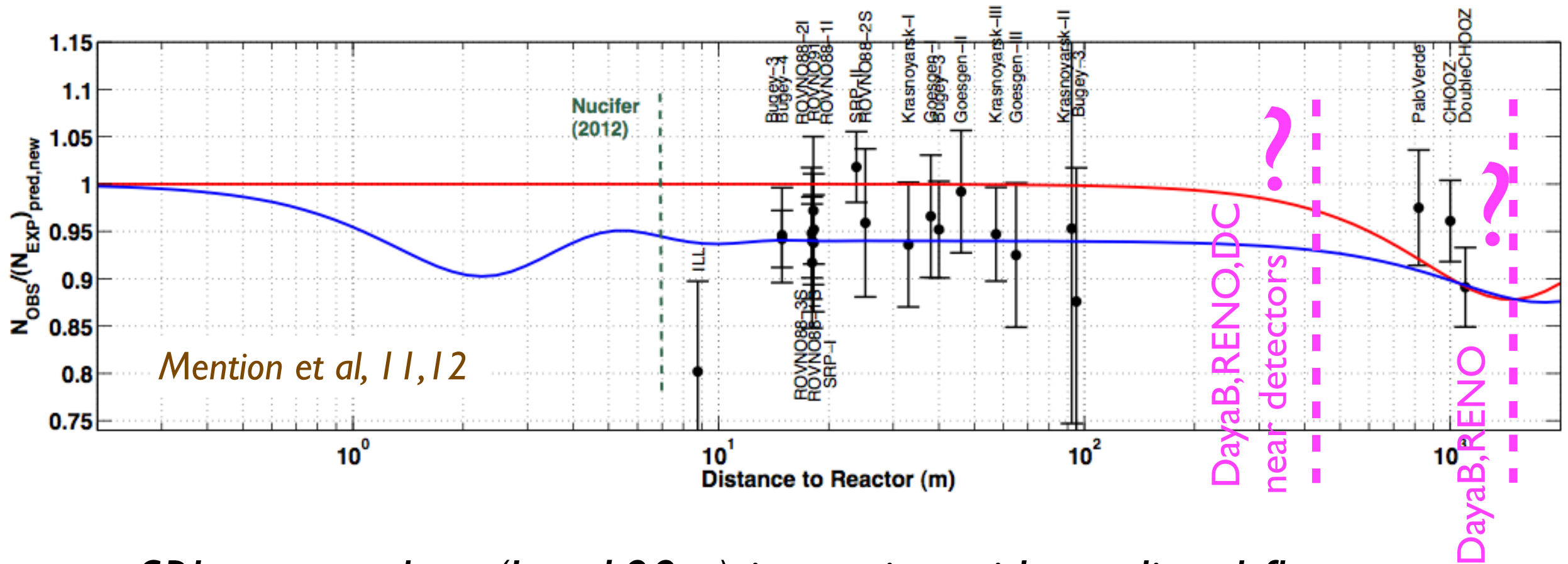
| ^{235}U | ^{239}Pu | ^{241}Pu | ^{238}U |
|------------------|-------------------|-------------------|------------------|
| 3.7% | 4.2% | 4.7% | 9.8% |

The reactor anomaly



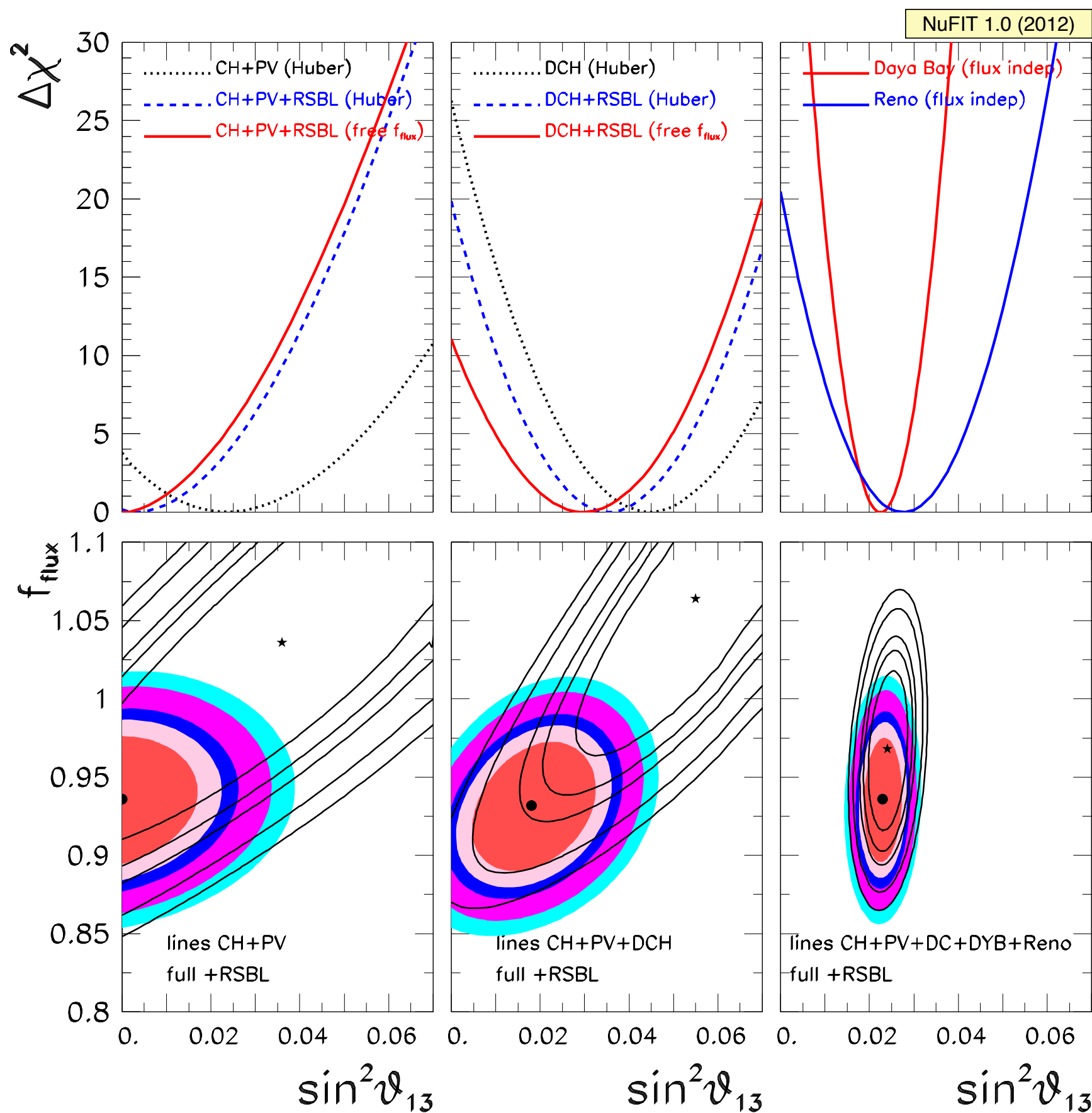
- SBL reactor data ($L < 100\text{m}$) in tension with predicted flux $f = 0.935 \pm 0.024$ (different from 1 @ 2.7σ)
- systematics?
 - ▶ normalization of ILL electron spectra
 - ▶ neutron lifetime (use 2012 PDG value)
- sterile neutrinos at the eV scale?

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The reactor anomaly and the θ_{13} determination



θ_{13} summary

two extreme assumptions on reactor fluxes:

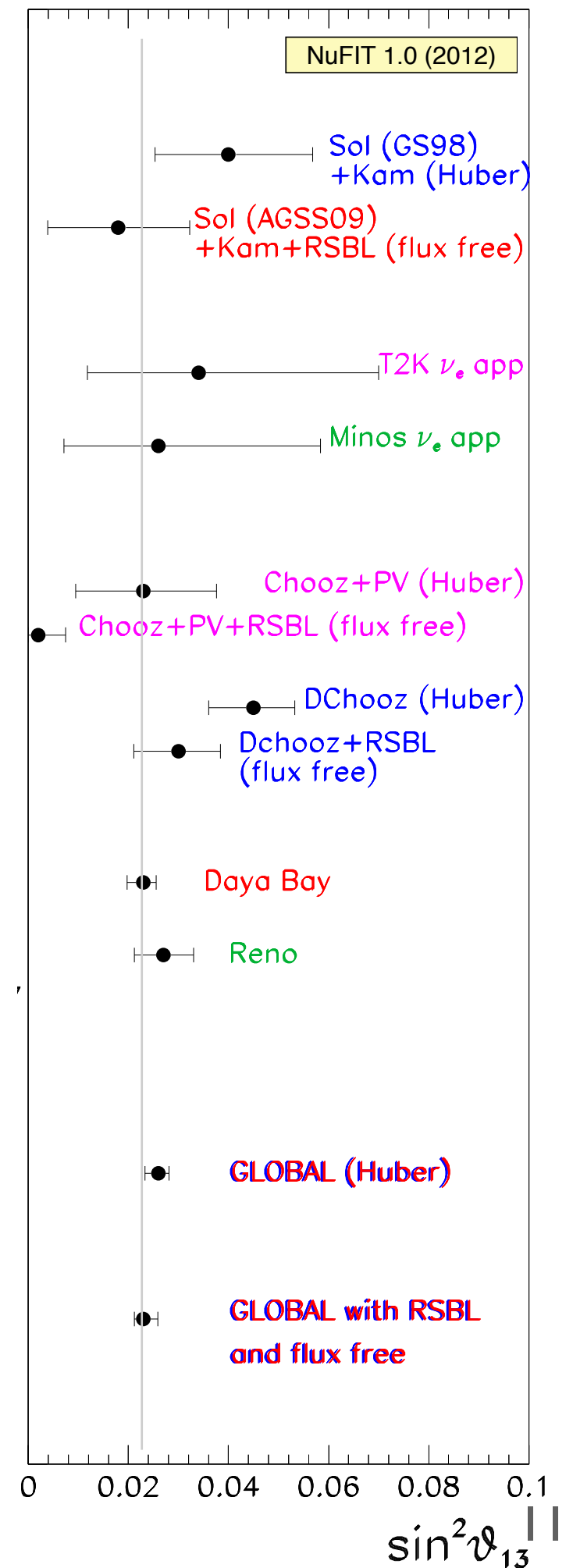
- use fluxes from *Huber, 1106.0687* without SBL reactor data

$$\sin^2 \theta_{13} = 0.025 \pm 0.0023 \quad \theta_{13} = (9.2_{-0.45}^{+0.42})^\circ \quad \sin^2 2\theta_{13} = 0.099 \pm 0.009$$

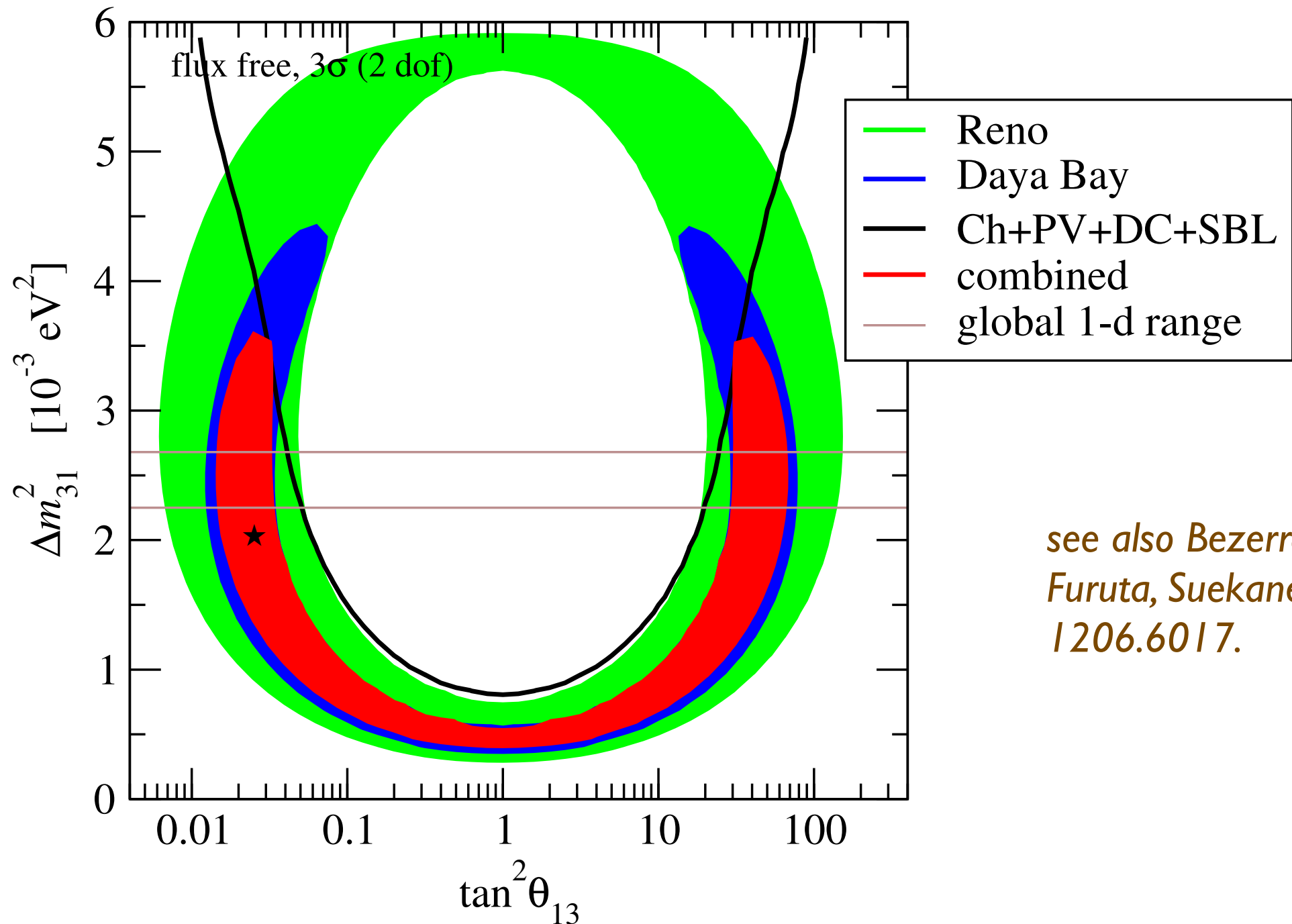
- leave react flux free and include SBL data

$$\sin^2 \theta_{13} = 0.023 \pm 0.0023 \quad \theta_{13} = (8.6_{-0.46}^{+0.44})^\circ \quad \sin^2 2\theta_{13} = 0.088 \pm 0.009$$

- affect global fit result at the 1σ level
- dependence on solar model is not visible in the global fit
- $\theta_{13} = 0$ disfavored at $\Delta\chi^2 \approx 100$ in global fit!

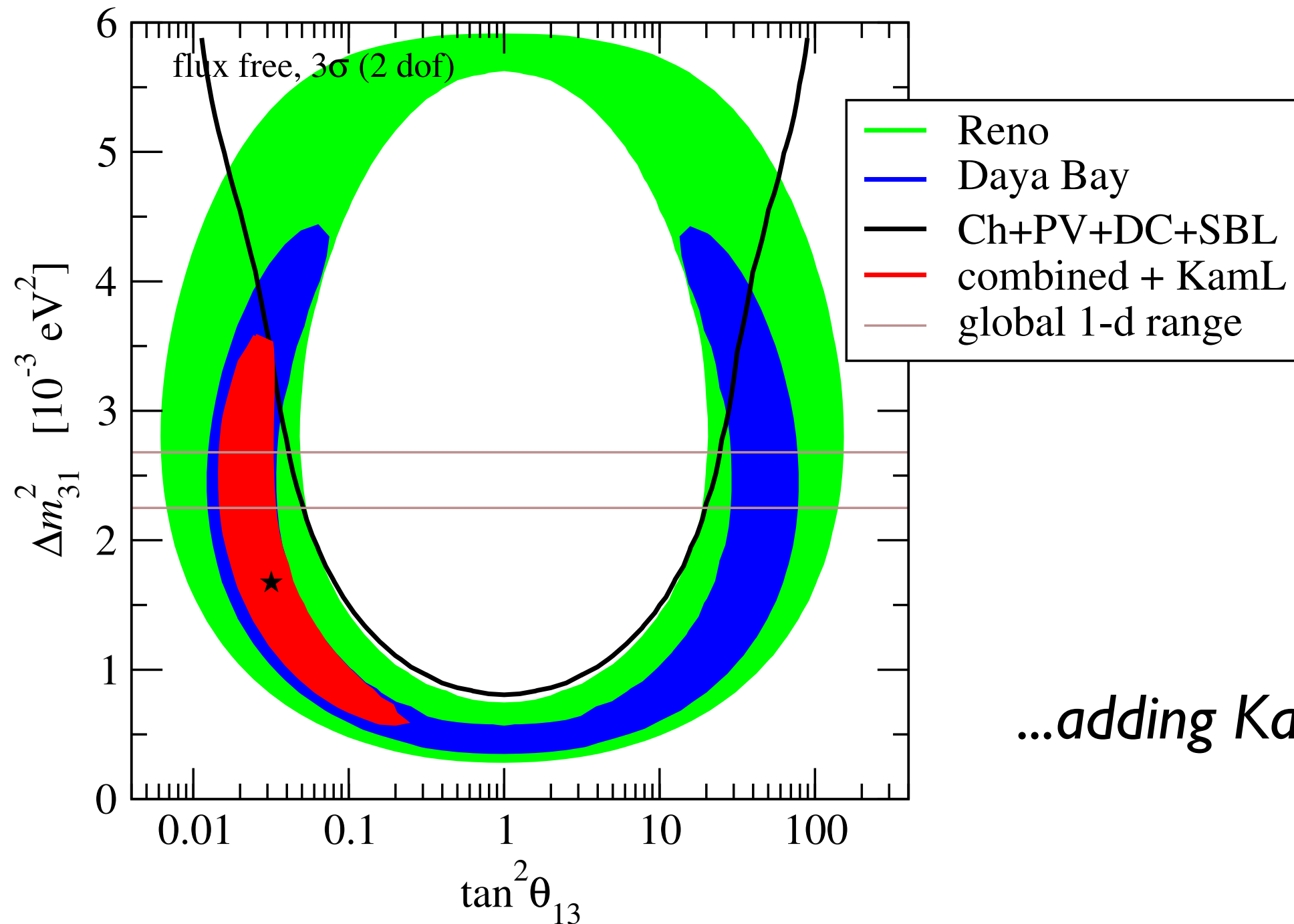


Measuring Δm^2_{31} with reactors



will improve with spectral data from DayaBay / RENO

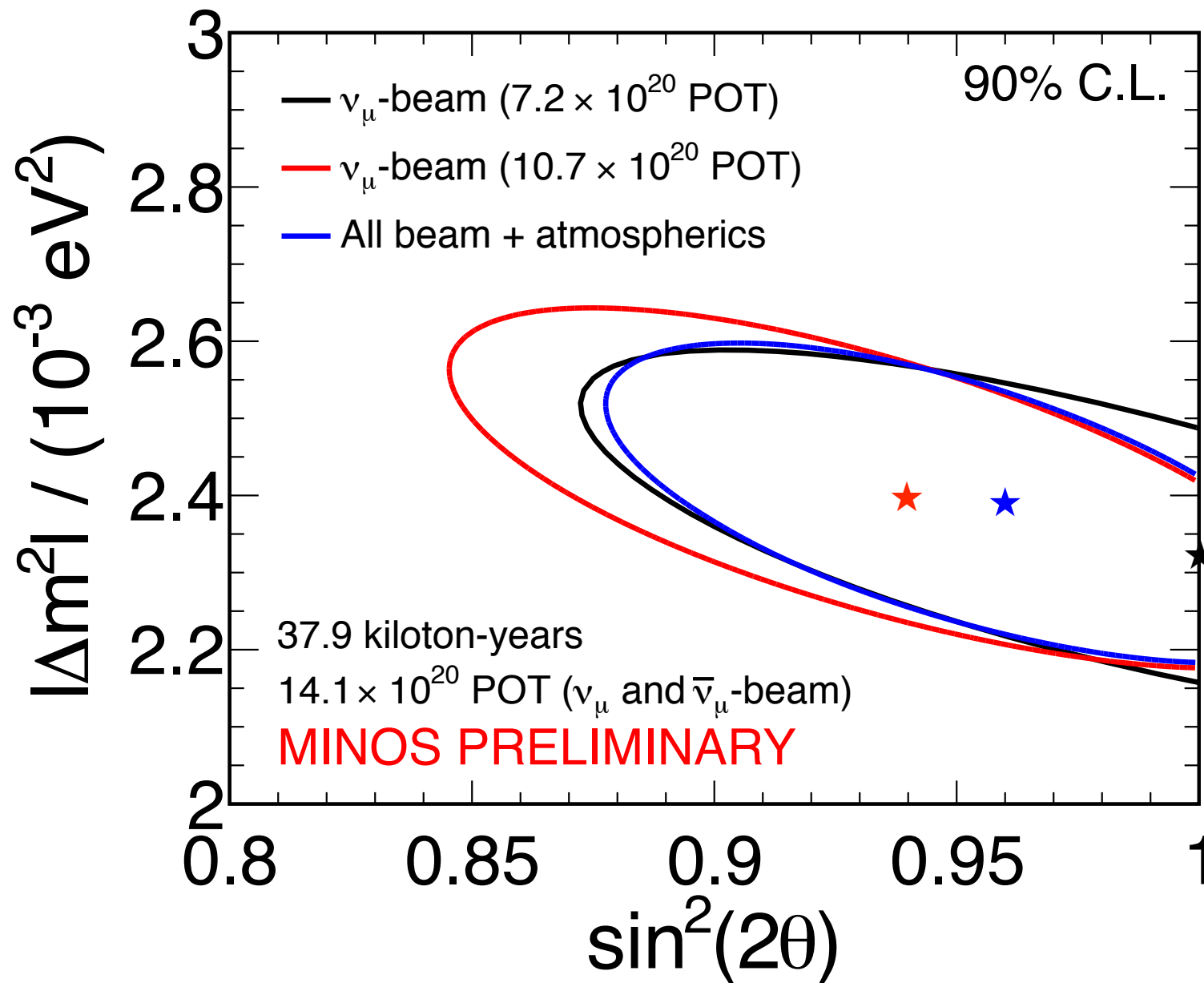
Measuring Δm^2_{31} with reactors



...adding KamLAND

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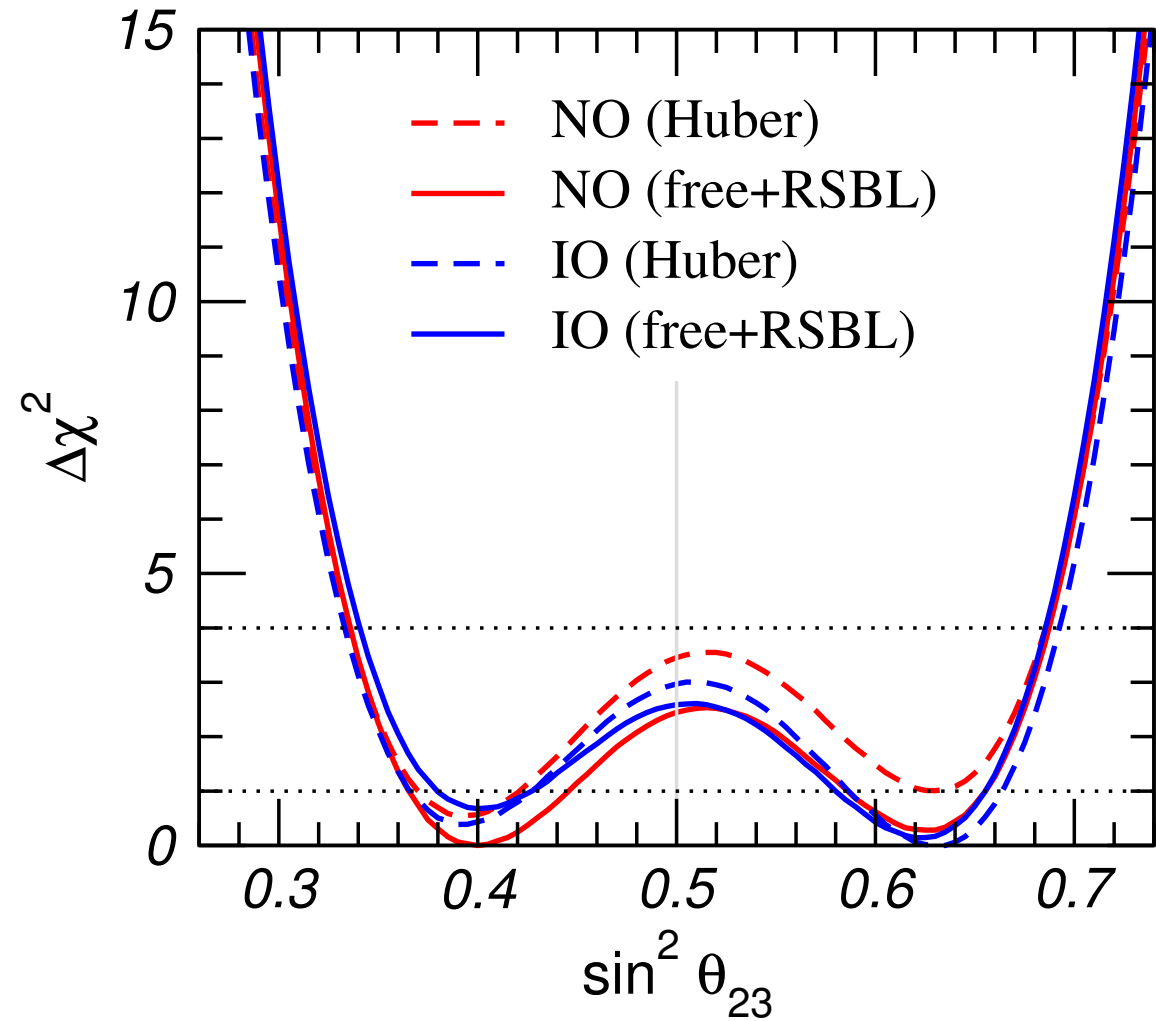
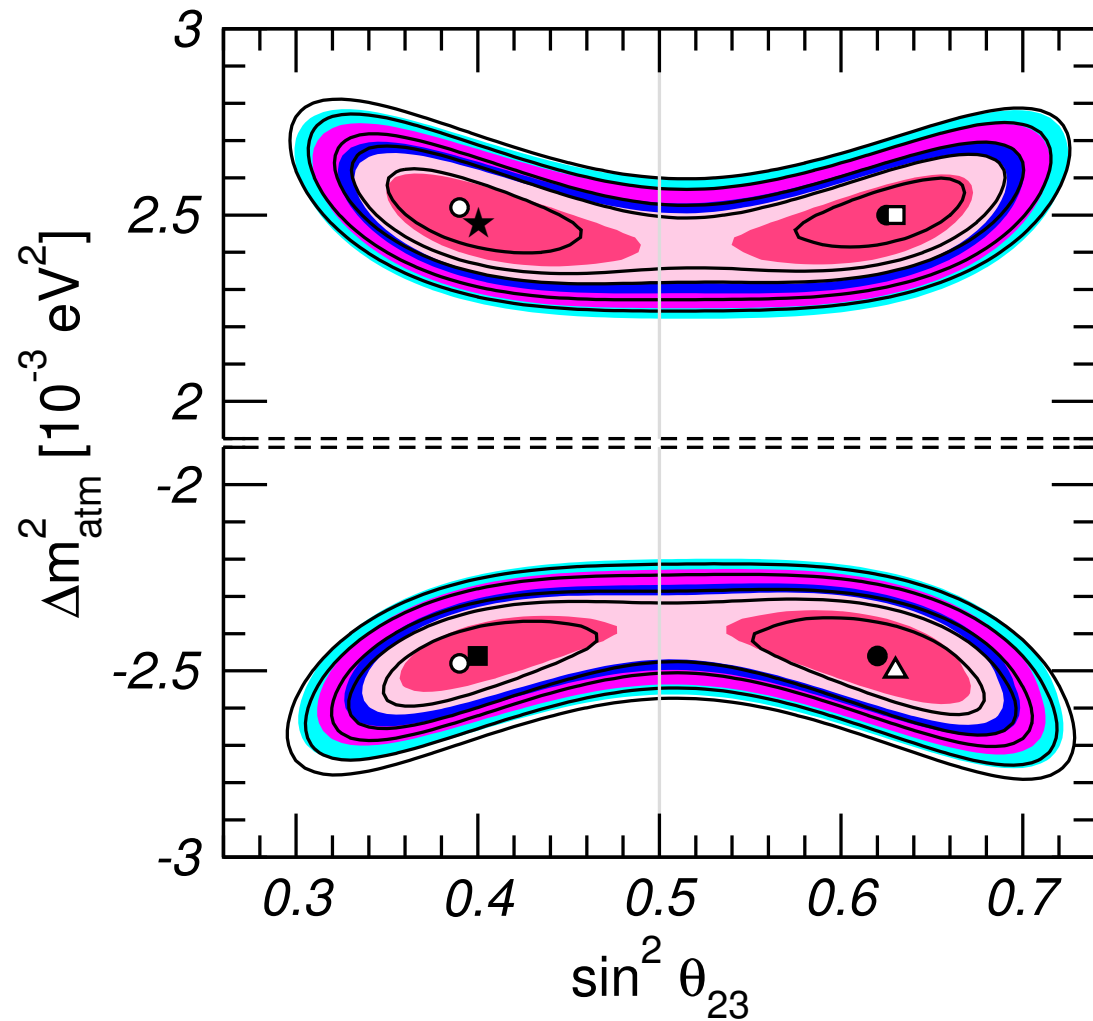
On non-maximal 23 mixing



Nichol (MINOS), talk
at Neutrino2012

On non-maximal 23 mixing

global data without atmospheric (MINOS and T2K disappearance most important)



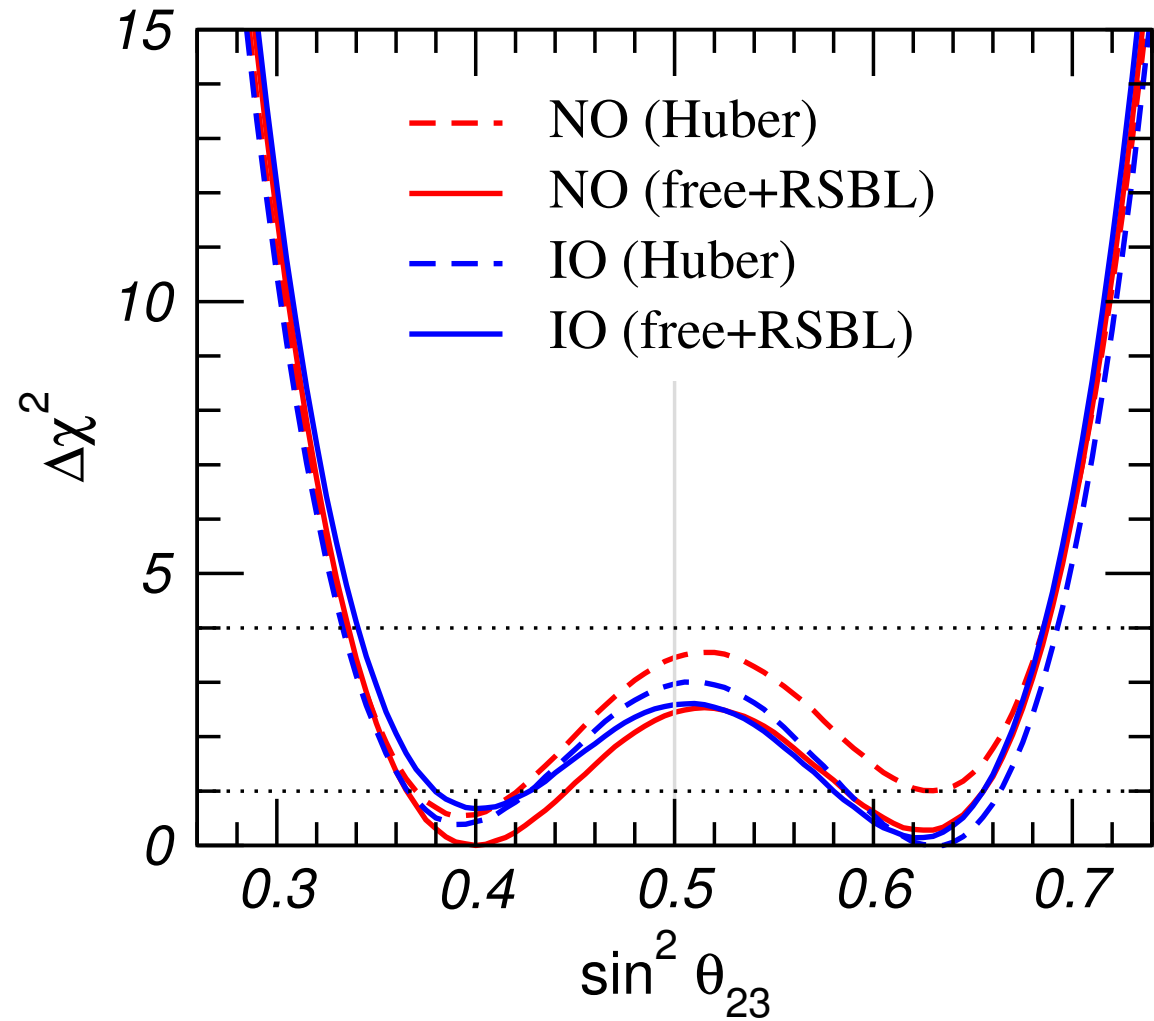
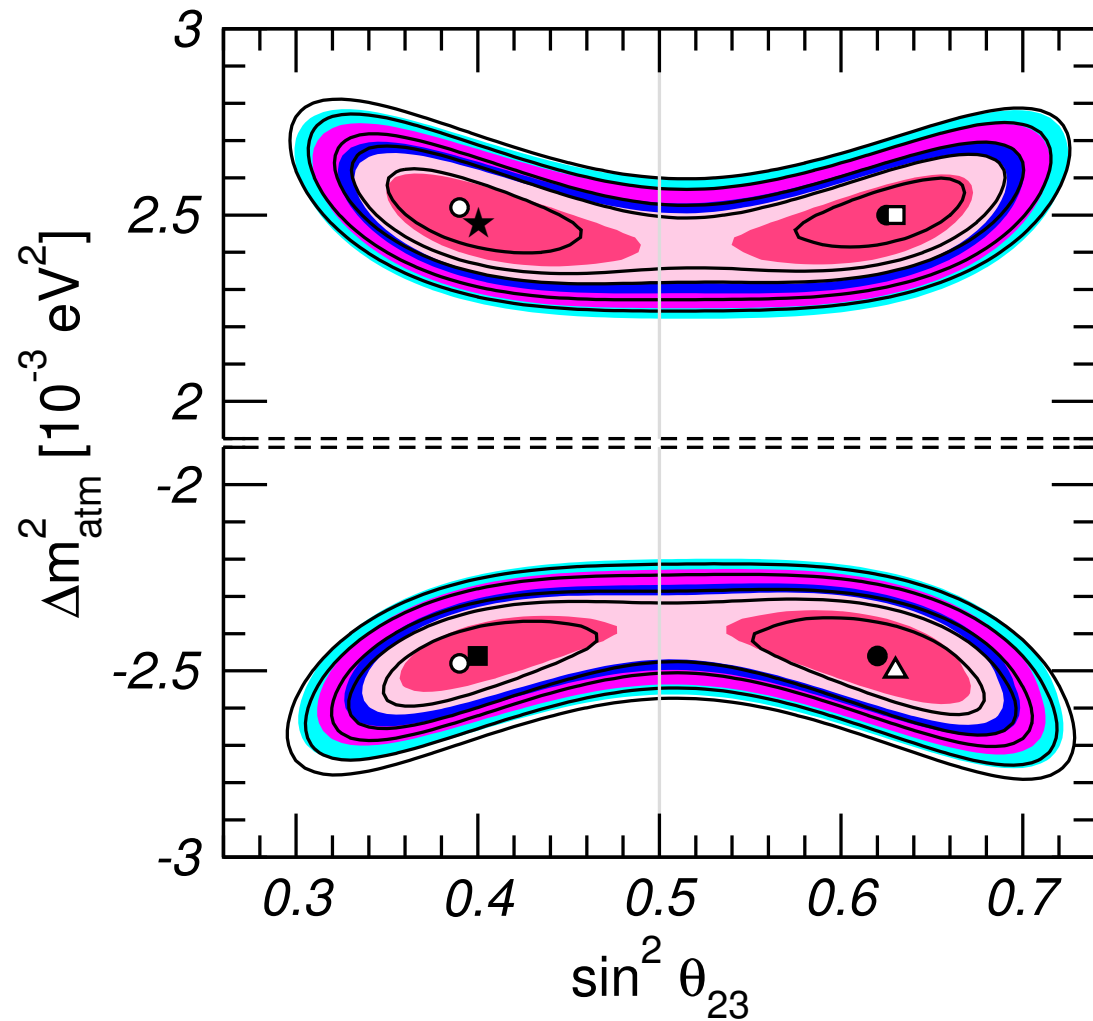
degeneracy between the two θ_{23} octants

$$\sin^2 \theta_{23} \approx 0.40$$

$$\sin^2 \theta_{23} \approx 0.62$$

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neglecting Δm^2_{21} : $P_{\mu\mu} \approx 1 - 4|U_{\mu 3}|^2(1 - |U_{\mu 3}|^2) \sin^2 \frac{\Delta m^2_{\text{atm}} L}{4E} \Rightarrow \sin^2 \theta_{23} = \frac{|U_{\mu 3}|^2}{\cos^2 \theta_{13}}$

slight shift to larger values of $\sin^2 \theta_{23}$

Octant degeneracy and LBL appearance

Fogli, Lisi, hep-ph/9604415

$$P_{\mu e} \simeq \sin^2 2\theta_{13} \sin^2 \theta_{23} \frac{\sin^2(1-A)\Delta}{(1-A)^2} + \sin 2\theta_{13} \hat{\alpha} \sin 2\theta_{23} \frac{\sin(1-A)\Delta}{1-A} \frac{\sin A\Delta}{A} \cos(\Delta + \delta_{\text{CP}}) + \hat{\alpha}^2 \cos^2 \theta_{23} \frac{\sin^2 A\Delta}{A^2}$$

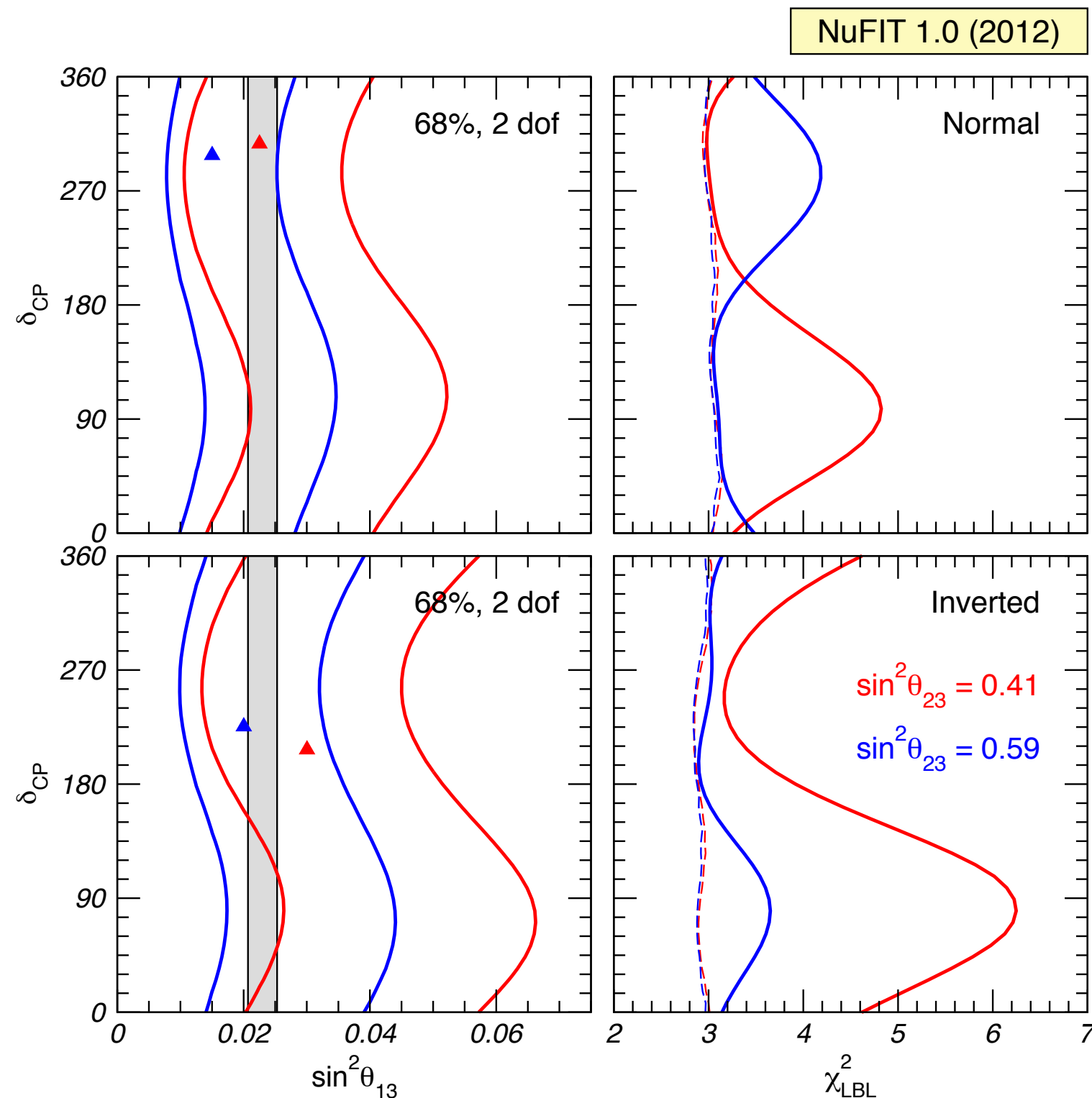
with

$$\Delta \equiv \frac{\Delta m_{31}^2 L}{4E_\nu}, \quad \hat{\alpha} \equiv \frac{\Delta m_{21}^2}{\Delta m_{31}^2} \sin 2\theta_{12}, \quad A \equiv \frac{2E_\nu V}{\Delta m_{31}^2}$$

- for large θ_{13} the leading term depends on octant
- beam+reactor combination may be sensitive to octant

Minakata et al. hep-ph/0211111; McConnel, Shaevitz, hep-ex/0409028

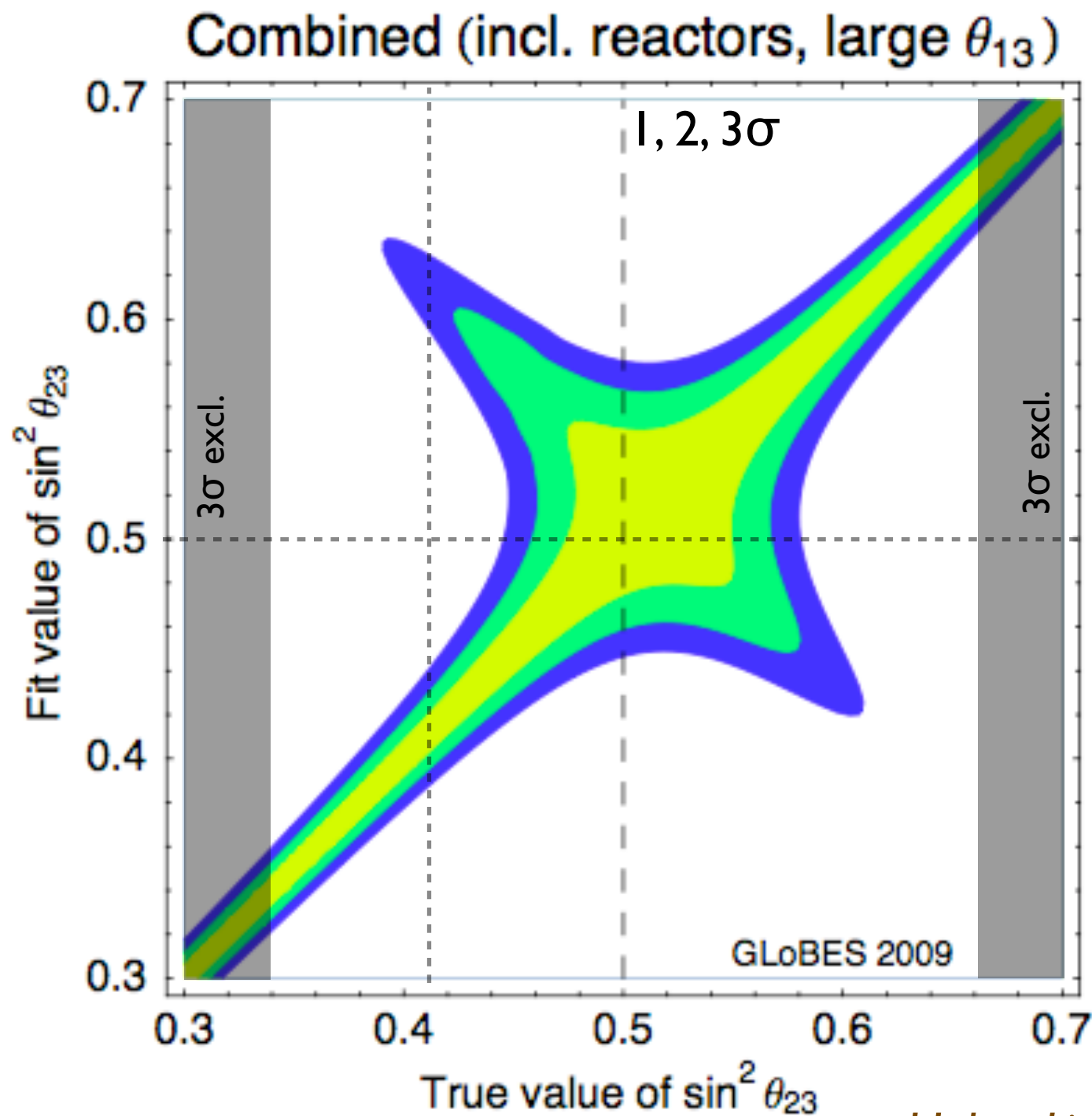
Octant degeneracy and LBL appearance



present data from LBL appearance versus reactor cannot discriminate between the octants

Global fit ~ 2020 - θ_{23} octant

final exposure of T2K, NOvA, DayaBay combined



$$\sin^2 2\theta_{13} = 0.1$$
$$\delta = 0$$

Huber, Lindner, TS, Winter, 0907.1896

3-flavor effects in atmospheric neutrinos

excess in electron-like events:

$$\begin{aligned} \frac{N_e}{N_e^0} - 1 &\simeq (r s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) && \theta_{13}\text{-effects} \\ &+ (r c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) && \Delta m_{21}^2\text{-effects} \\ &- 2s_{13}s_{23}c_{23} r \operatorname{Re}(A_{ee}^* A_{\mu e}) && \text{interference: } \delta_{\text{CP}} \end{aligned}$$

$$r = r(E_\nu) \equiv \frac{F_\mu^0(E_\nu)}{F_e^0(E_\nu)} \quad \begin{array}{l} r \approx 2 \quad (\text{sub-GeV}) \\ r \approx 2.6 - 4.5 \quad (\text{multi-GeV}) \end{array}$$

3-flavor effects in atmospheric neutrinos

Peres, Smirnov, 99;
Gonzalez-Garcia, Maltoni, Smirnov, 04

excess in electron-like events:

$$\frac{N_e}{N_e^0} - 1 \simeq (r s_{23}^2 - 1) P_{2\nu}(\Delta m_{31}^2, \theta_{13}) \quad \theta_{13}\text{-effects}$$

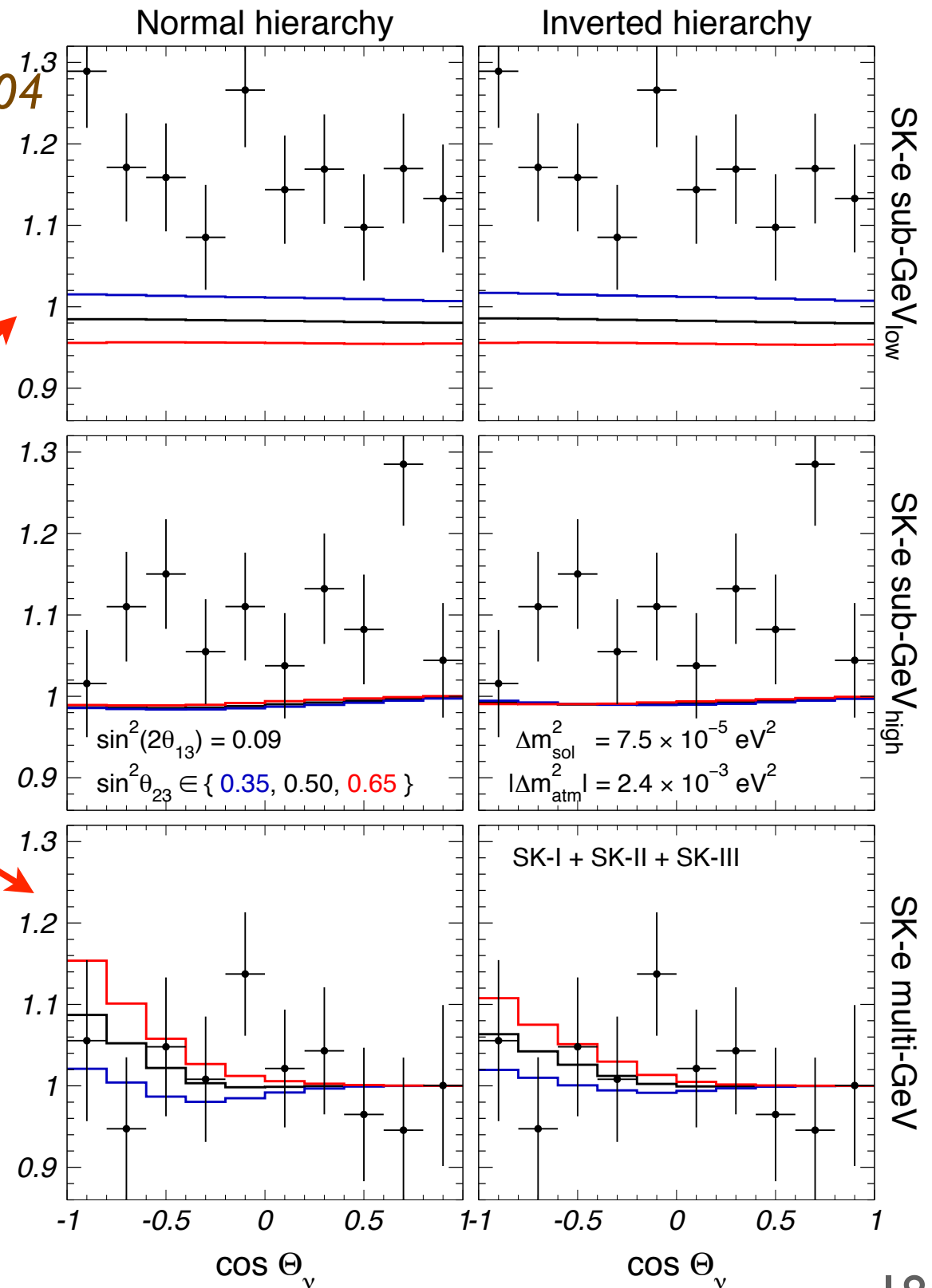
$$+ (r c_{23}^2 - 1) P_{2\nu}(\Delta m_{21}^2, \theta_{12}) \quad \Delta m_{21}^2\text{-effects}$$

$$- 2s_{13}s_{23}c_{23} r \operatorname{Re}(A_{ee}^* A_{\mu e}) \quad \text{interference: } \delta_{CP}$$

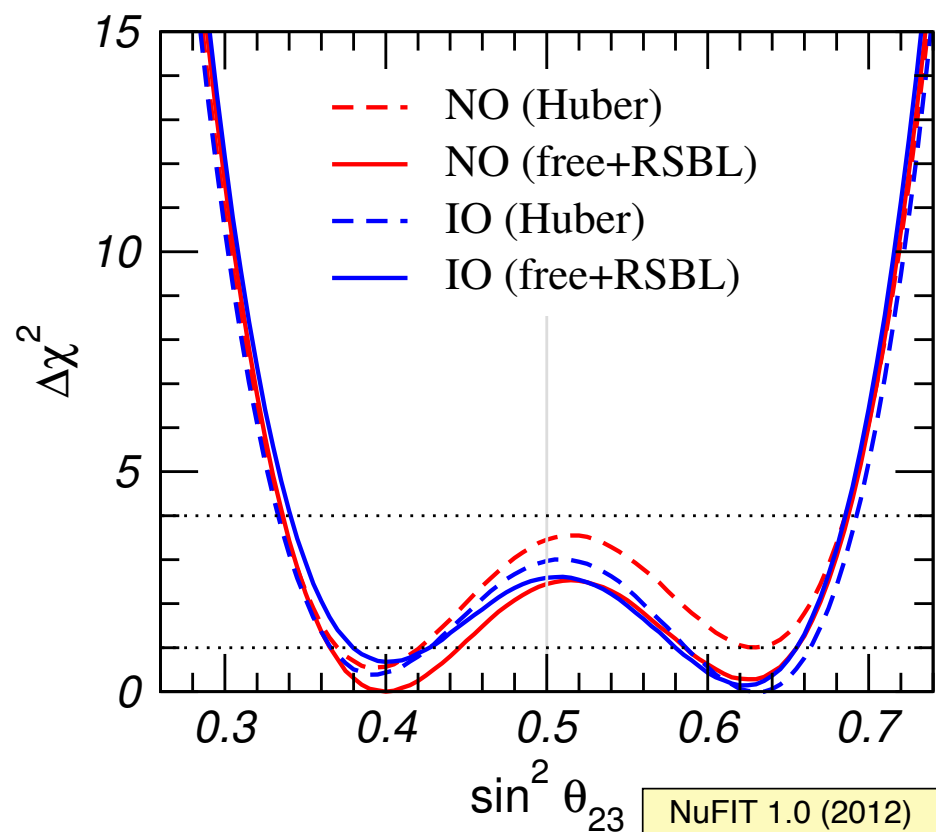
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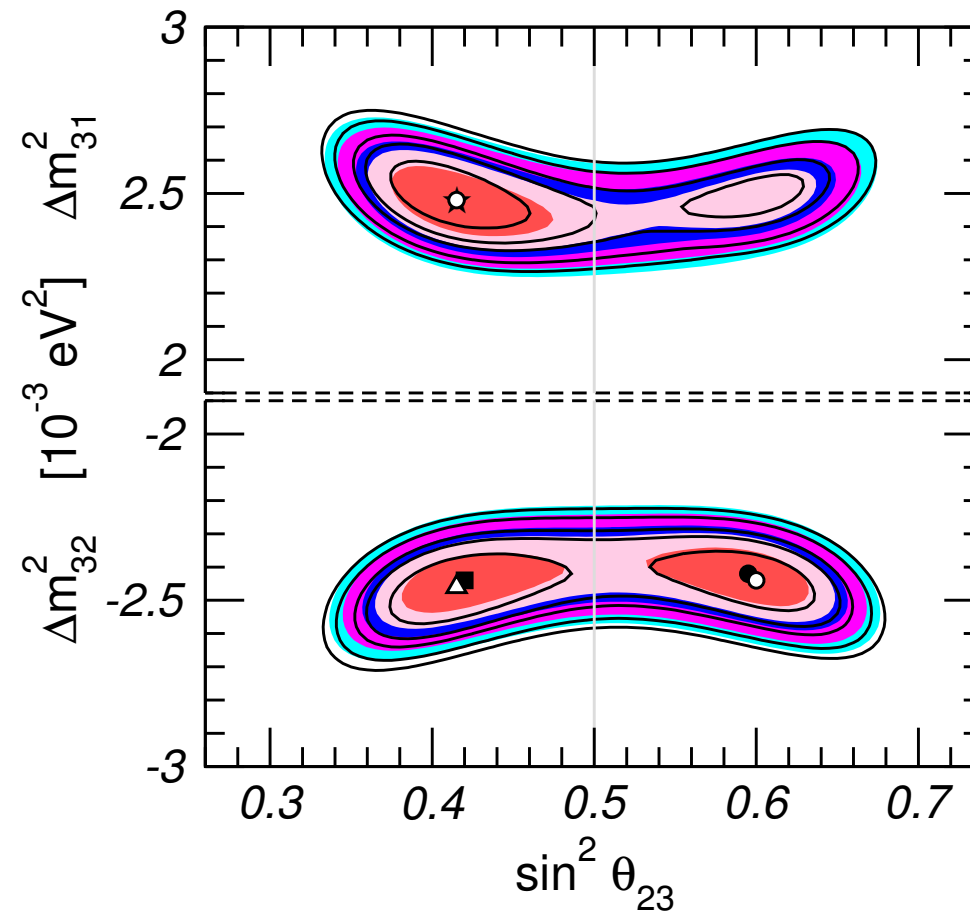
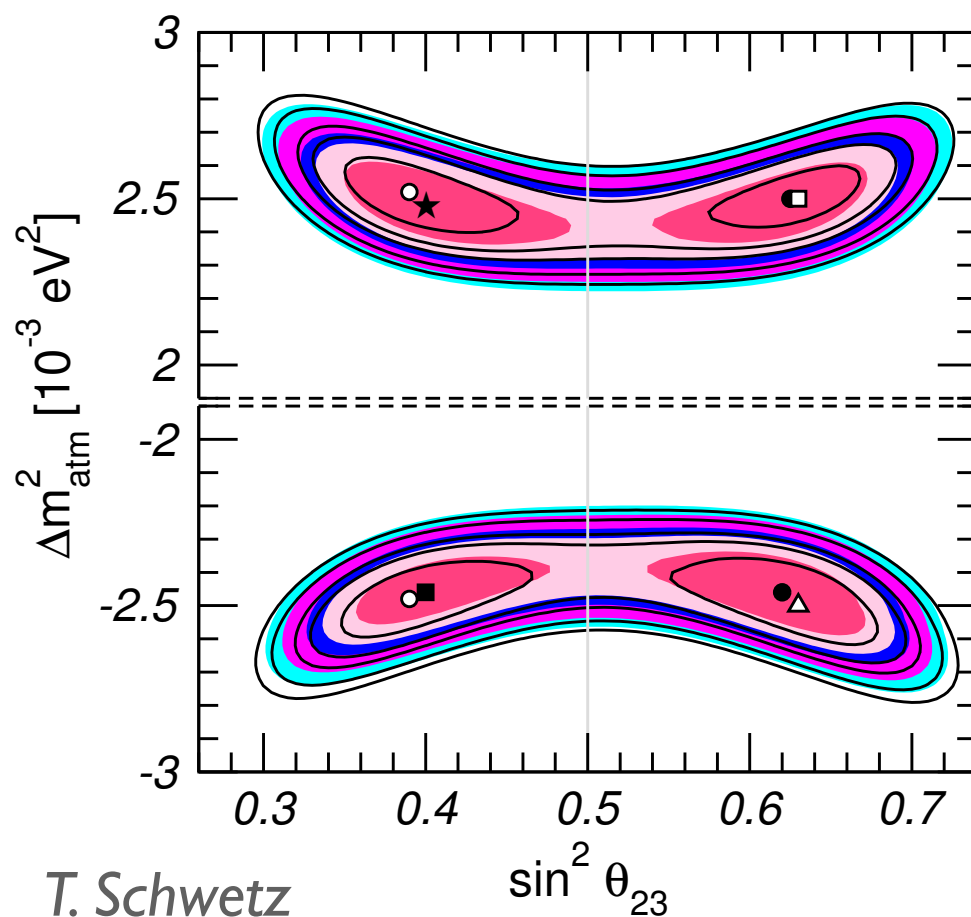
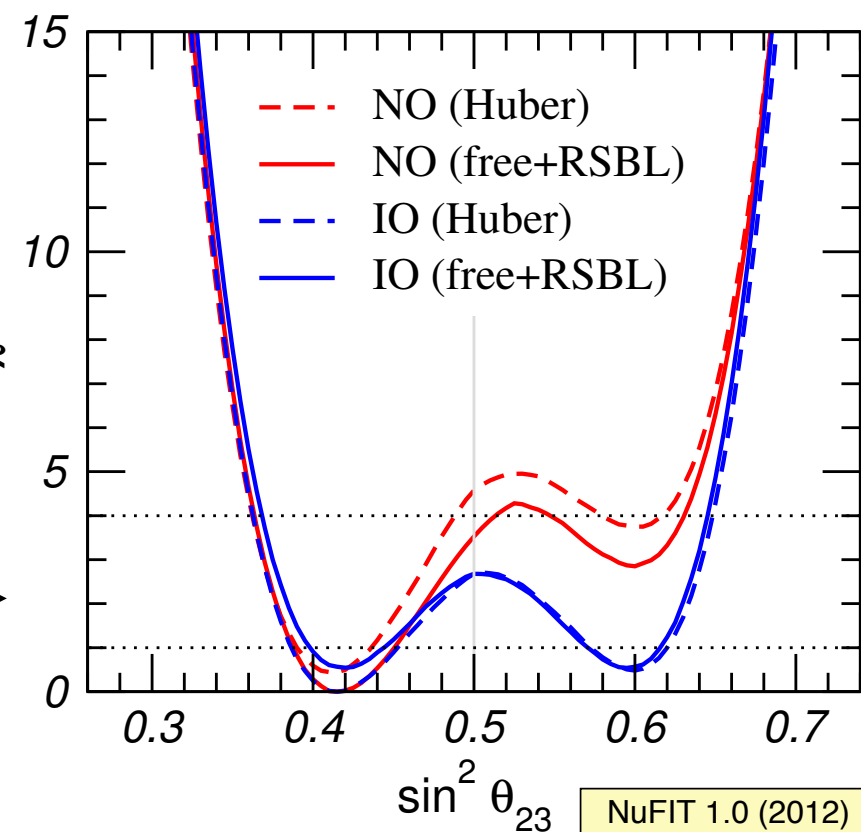
SK-I-3 data



The octant and atmospheric neutrino data

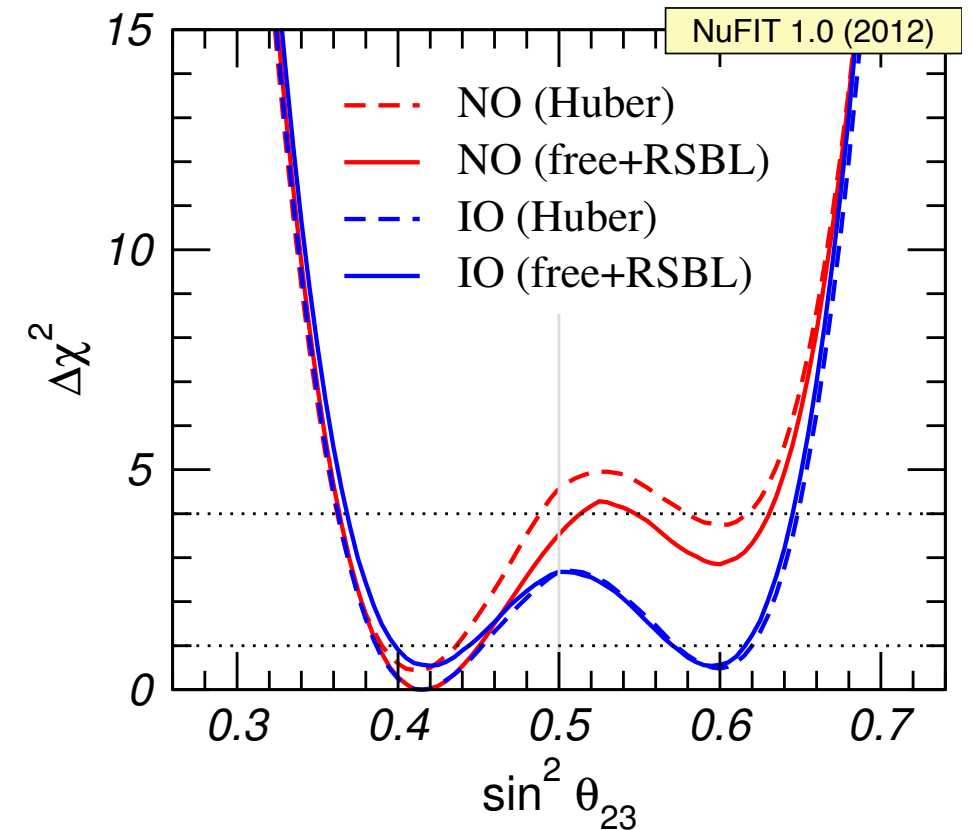


adding atmospheric



The octant and atmospheric neutrino data

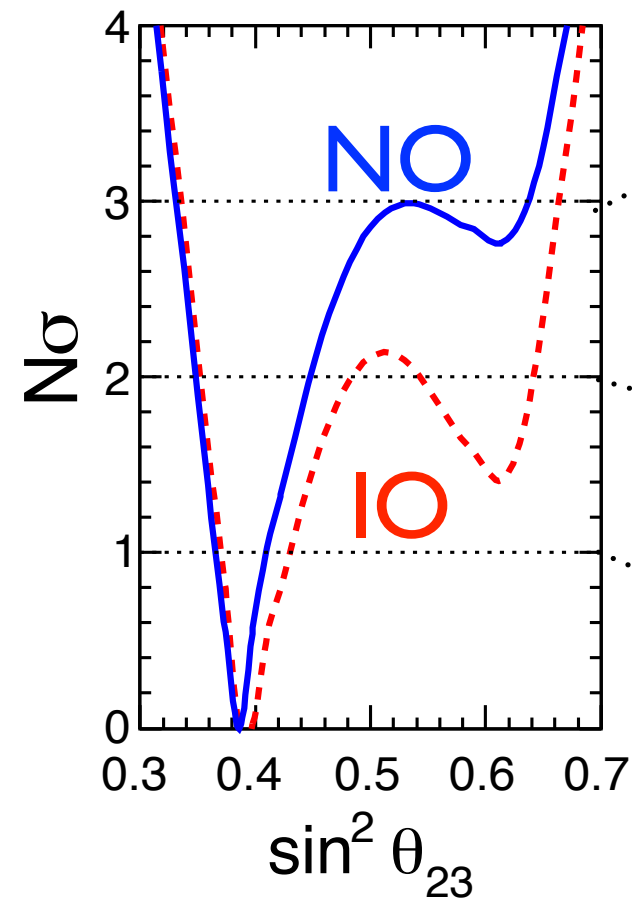
| | bfp $\pm 1\sigma$ | 3σ range |
|----------------------|--|-------------------------|
| $\sin^2 \theta_{23}$ | $0.41^{+0.037}_{-0.025} \oplus 0.59^{+0.021}_{-0.022}$ | $0.34 \rightarrow 0.67$ |
| $\theta_{23}/^\circ$ | $40.0^{+2.1}_{-1.5} \oplus 50.4^{+1.2}_{-1.3}$ | $36 \rightarrow 55$ |



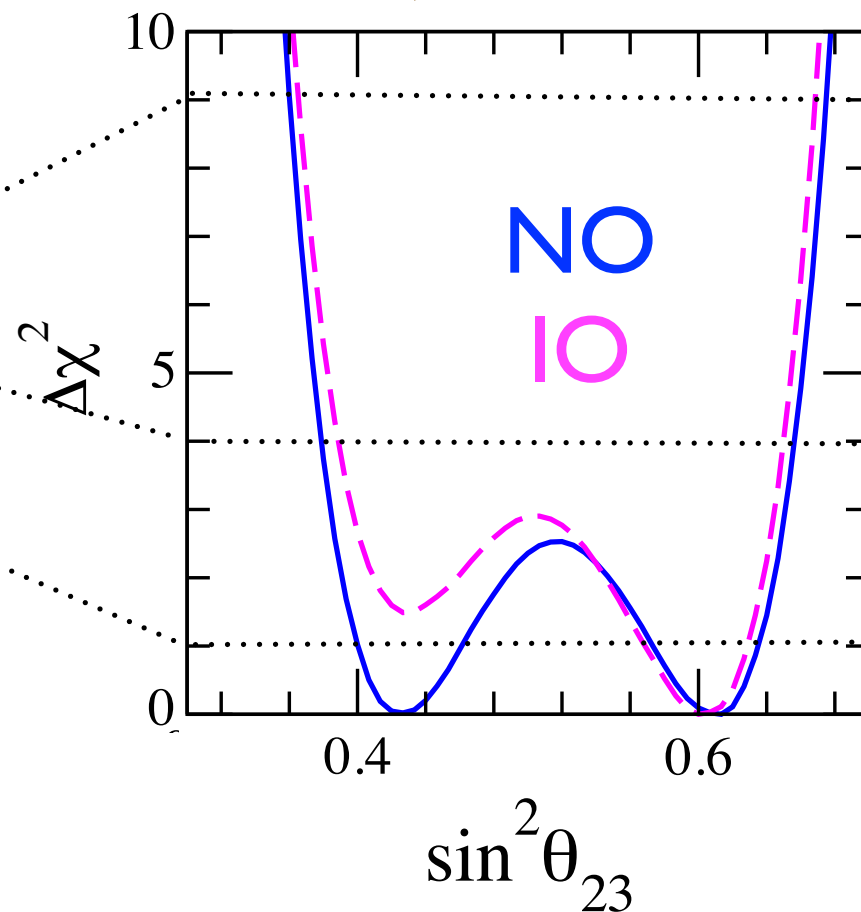
- preference for non-maximality: 2σ (NO) or 1.5σ (IO)
- preference for 1st octant: 1.5σ (NO) or $<0.9\sigma$ (IO)

Comparison with other global fits

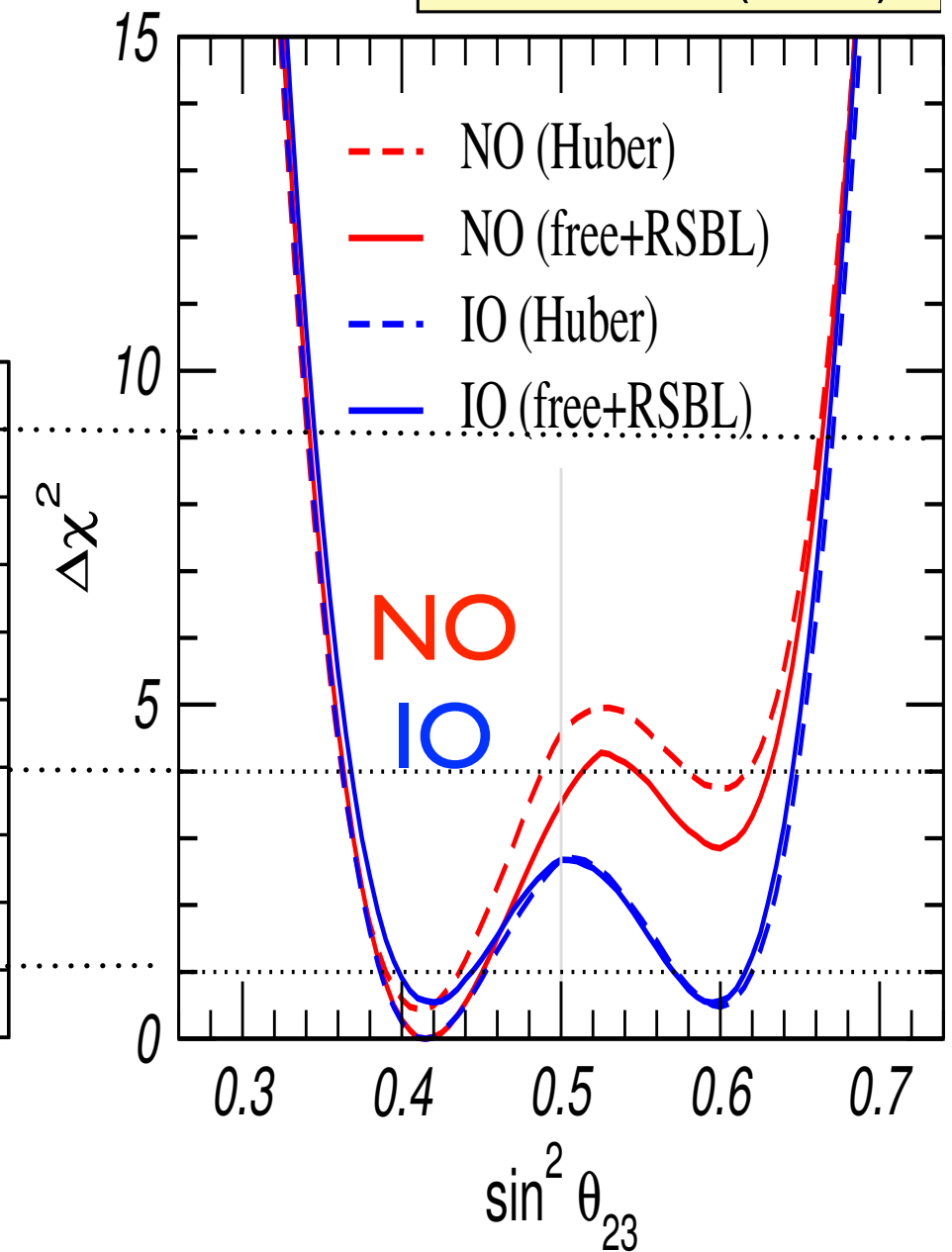
Fogli et al., 1205.5254



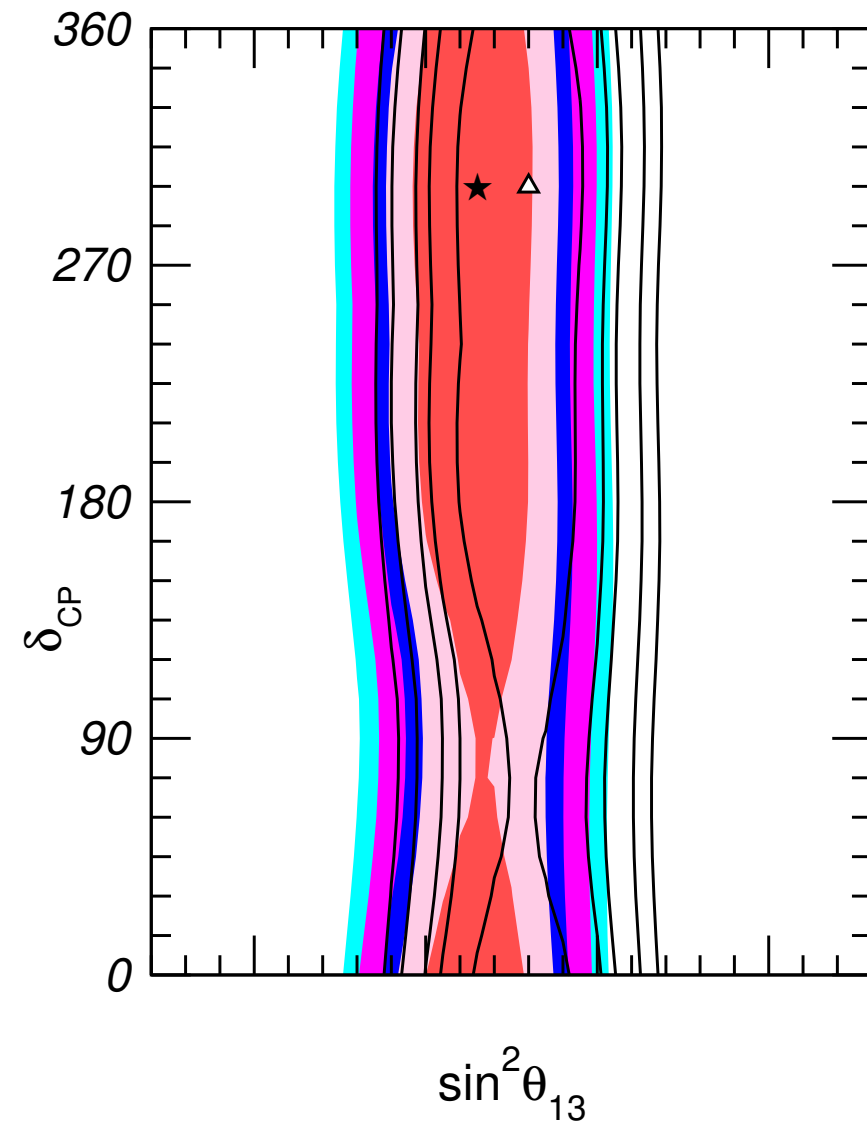
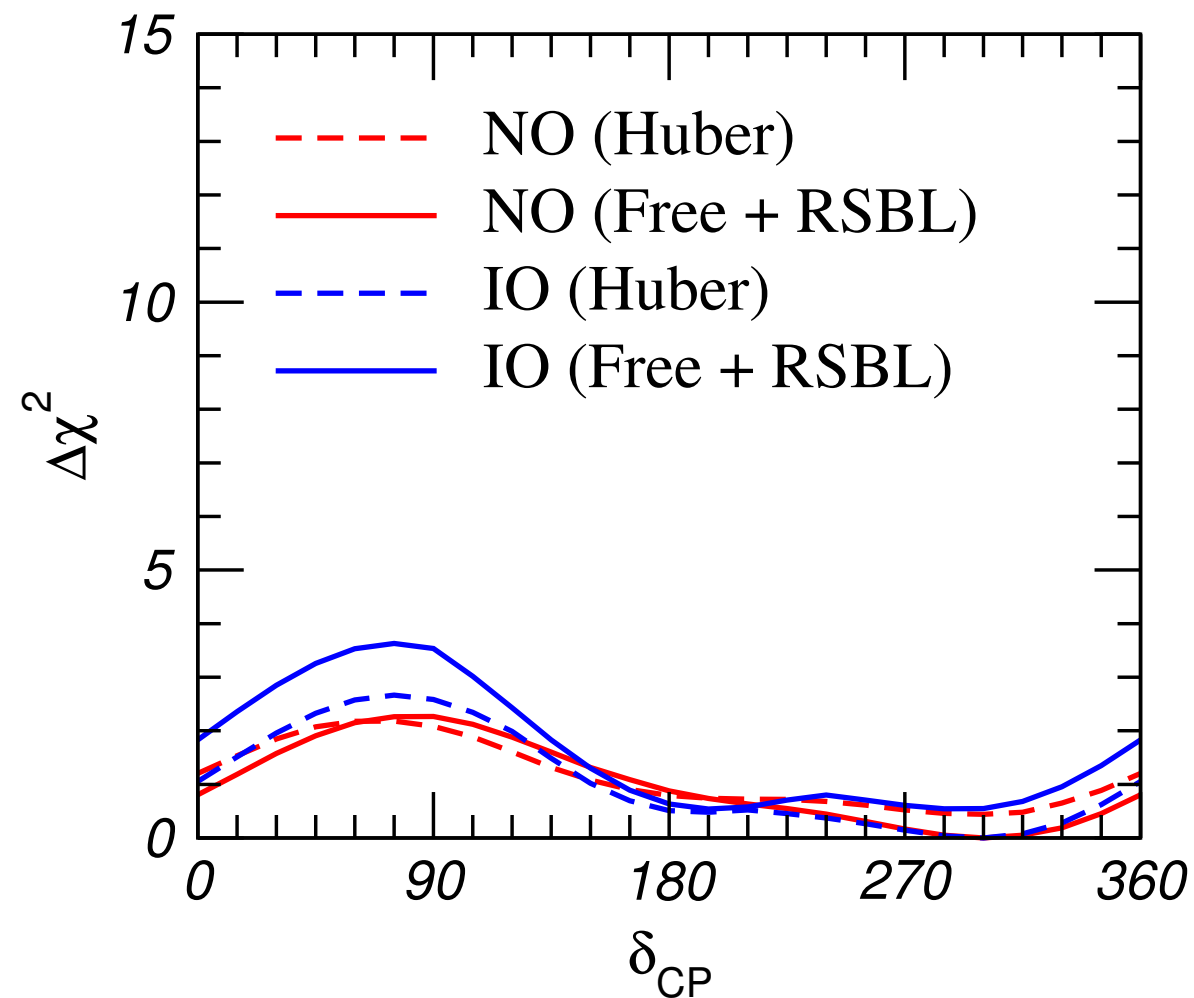
Forero, Tortola, Valle, 1205.4018



NuFIT 1.0 (2012)



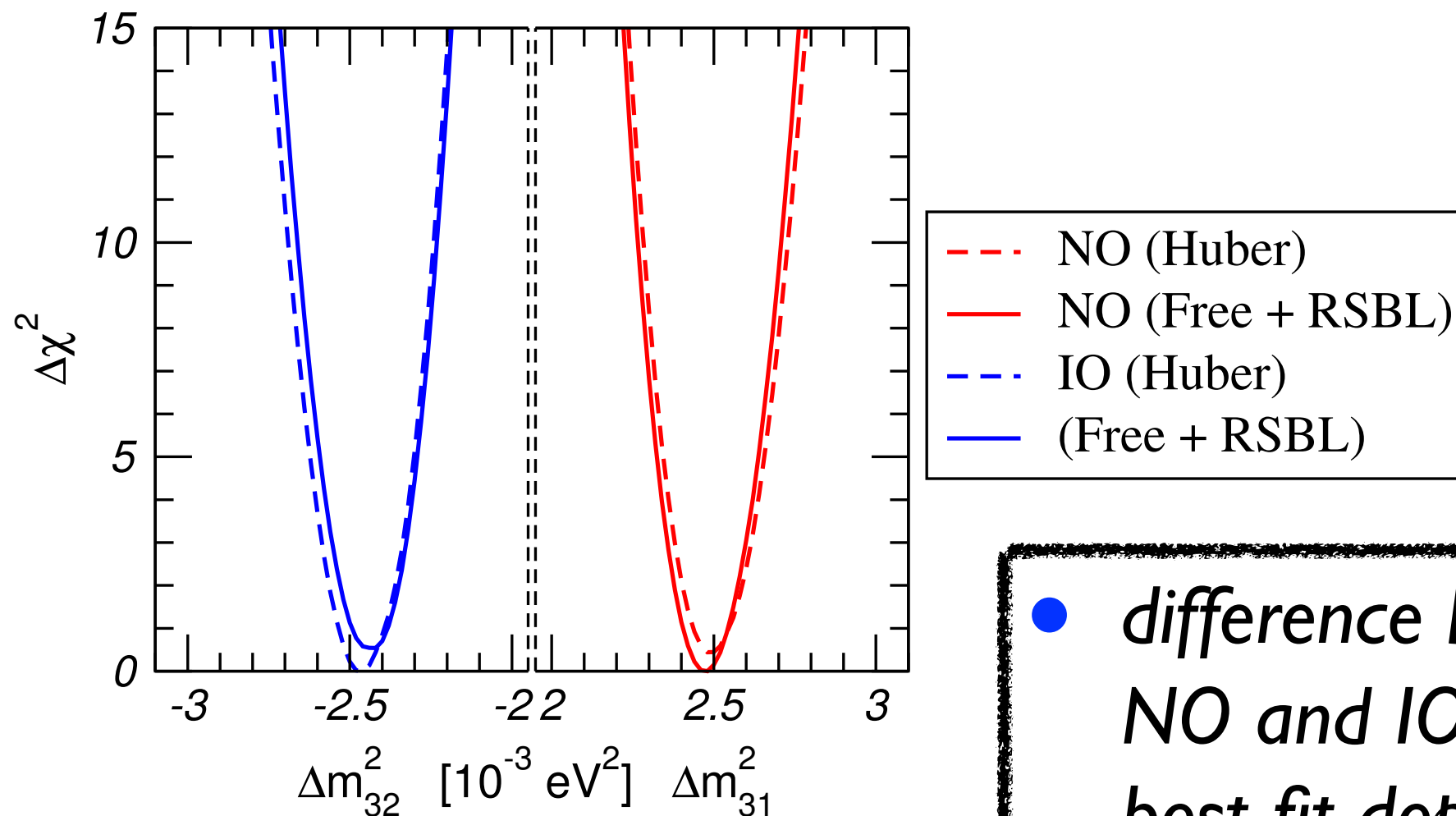
The CP phase



- “preferred” regions for $\delta \sim 300^\circ$ at 1σ (everything allowed at 2σ)

$\Delta m^2_{31,32}$ and the mass ordering

| | Free Fluxes + RSBL | | Huber Fluxes, no RSBL | |
|--|---------------------------|---------------------------|---------------------------|---------------------------|
| | bf $\pm 1\sigma$ | 3σ range | bf $\pm 1\sigma$ | 3σ range |
| $\frac{\Delta m^2_{31}}{10^{-3} \text{ eV}^2}$ (N) | $2.47^{+0.069}_{-0.067}$ | $2.27 \rightarrow 2.69$ | $2.49^{+0.055}_{-0.051}$ | $2.29 \rightarrow 2.71$ |
| $\frac{\Delta m^2_{32}}{10^{-3} \text{ eV}^2}$ (I) | $-2.43^{+0.042}_{-0.065}$ | $-2.65 \rightarrow -2.24$ | $-2.47^{+0.073}_{-0.064}$ | $-2.68 \rightarrow -2.25$ |



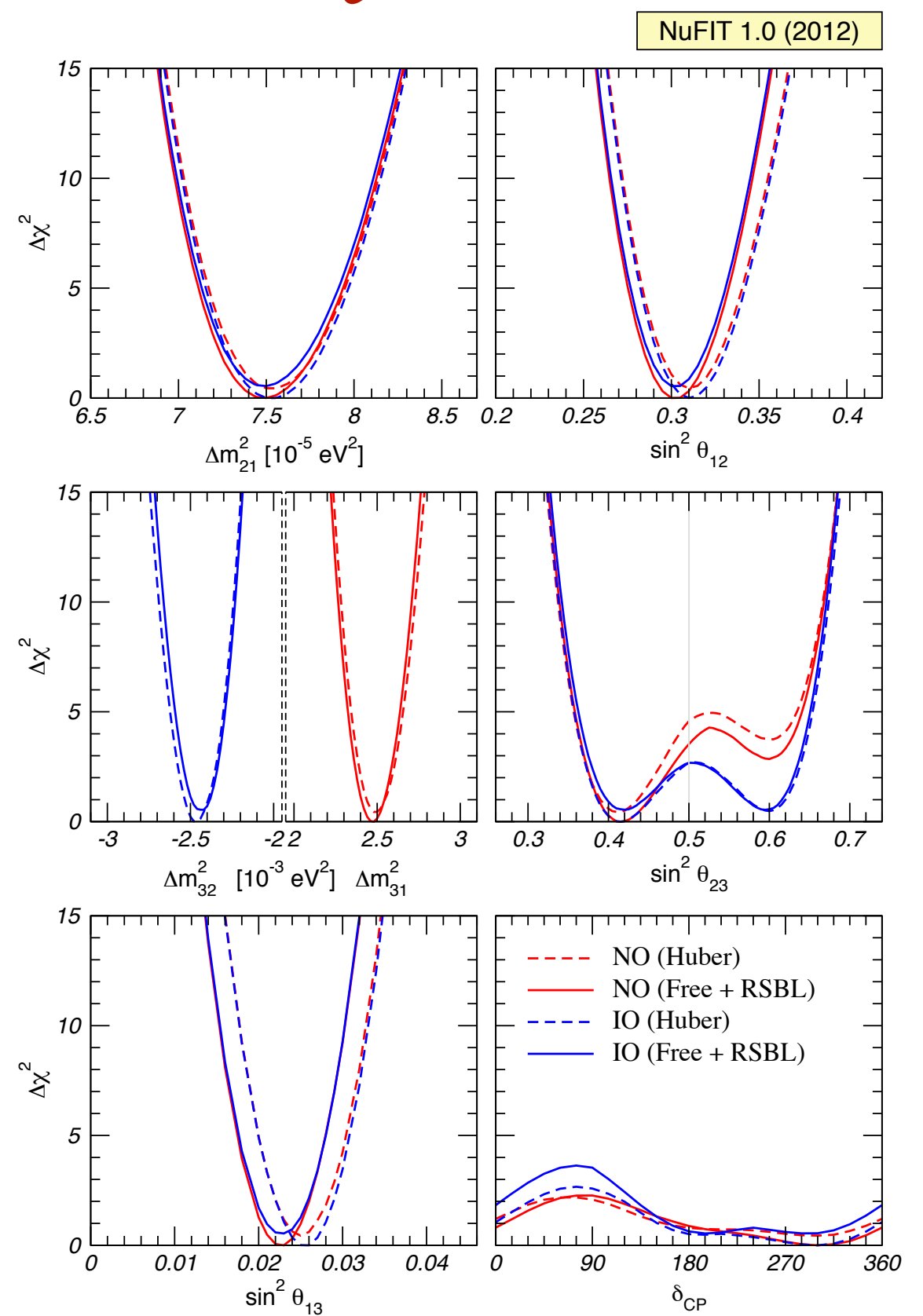
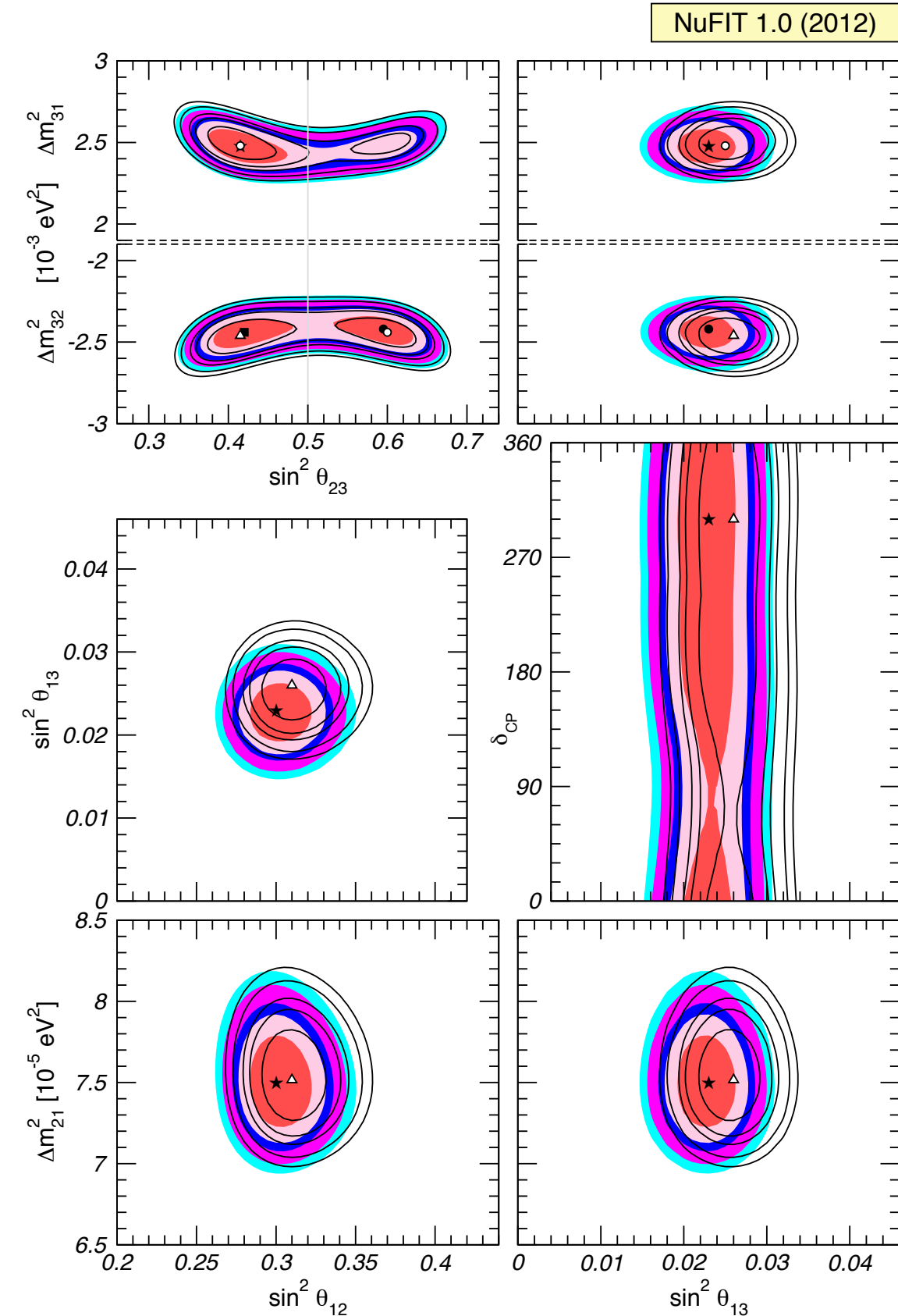
- difference between NO and IO of $\Delta\chi^2 \approx 0.5$ best fit depends on the assumption of reactor fluxes

Prospects for mass ordering

Relatively large value of θ_{13} opens exciting possibilities maybe even before experiments like HyperK, LAGUNA/LBNO, LBNE

- **atmospheric neutrinos in PINGU**
Akhmedov, Razzaque, Smirnov, 1205.7071
talk by Ken Clark
- **large-scale reactor experiment at ~ 50 km**
e.g. Petcov, Piai, hep-ph/0112074
DayaBay-II related studies
- **atmospheric neutrinos in magnetized detector**
INO project; Blennow, TS, 1203.3388
- **Supernova?** *talk by Pasquale Serpico tomorrow*

Three-neutrino summary



NuFIT 1.0 (2012)

| | Free Fluxes + RSBL | | Huber Fluxes, no RSBL | |
|--|--|---------------------------|--|---------------------------|
| | bf μ $\pm 1\sigma$ | 3σ range | bf μ $\pm 1\sigma$ | 3σ range |
| $\sin^2 \theta_{12}$ | 0.30 ± 0.013 | $0.27 \rightarrow 0.34$ | 0.31 ± 0.013 | $0.27 \rightarrow 0.35$ |
| $\theta_{12}/^\circ$ | 33.3 ± 0.8 | $31 \rightarrow 36$ | 33.9 ± 0.8 | $31 \rightarrow 36$ |
| $\sin^2 \theta_{23}$ | $0.41_{-0.025}^{+0.037} \oplus 0.59_{-0.022}^{+0.021}$ | $0.34 \rightarrow 0.67$ | $0.41_{-0.029}^{+0.030} \oplus 0.60_{-0.026}^{+0.020}$ | $0.34 \rightarrow 0.67$ |
| $\theta_{23}/^\circ$ | $40.0_{-1.5}^{+2.1} \oplus 50.4_{-1.3}^{+1.2}$ | $36 \rightarrow 55$ | $40.1_{-1.7}^{+2.1} \oplus 50.7_{-1.5}^{+1.1}$ | $36 \rightarrow 55$ |
| $\sin^2 \theta_{13}$ | 0.023 ± 0.0023 | $0.016 \rightarrow 0.030$ | 0.025 ± 0.0023 | $0.018 \rightarrow 0.033$ |
| $\theta_{13}/^\circ$ | $8.6_{-0.46}^{+0.44}$ | $7.2 \rightarrow 9.5$ | $9.2_{-0.45}^{+0.42}$ | $7.7 \rightarrow 10.$ |
| $\delta_{CP}/^\circ$ | 300_{-138}^{+66} | $0 \rightarrow 360$ | 298_{-145}^{+59} | $0 \rightarrow 360$ |
| $\frac{\Delta m_{21}^2}{10^{-5} \text{ eV}^2}$ | 7.50 ± 0.185 | $7.00 \rightarrow 8.09$ | $7.50_{-0.160}^{+0.205}$ | $7.04 \rightarrow 8.12$ |
| $\frac{\Delta m_{31}^2}{10^{-3} \text{ eV}^2}$ (N) | $2.47_{-0.067}^{+0.069}$ | $2.27 \rightarrow 2.69$ | $2.49_{-0.051}^{+0.055}$ | $2.29 \rightarrow 2.71$ |
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C. Gonzalez-Garcia, M. Maltoni, J. Salvado, T.S., 1209.3023

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www.nu-fit.org

- Continuously updated results at www.nu-fit.org
- provided by the NuFIT group:
C. Gonzalez-Garcia, M. Maltoni, J. Salvado, T.S.

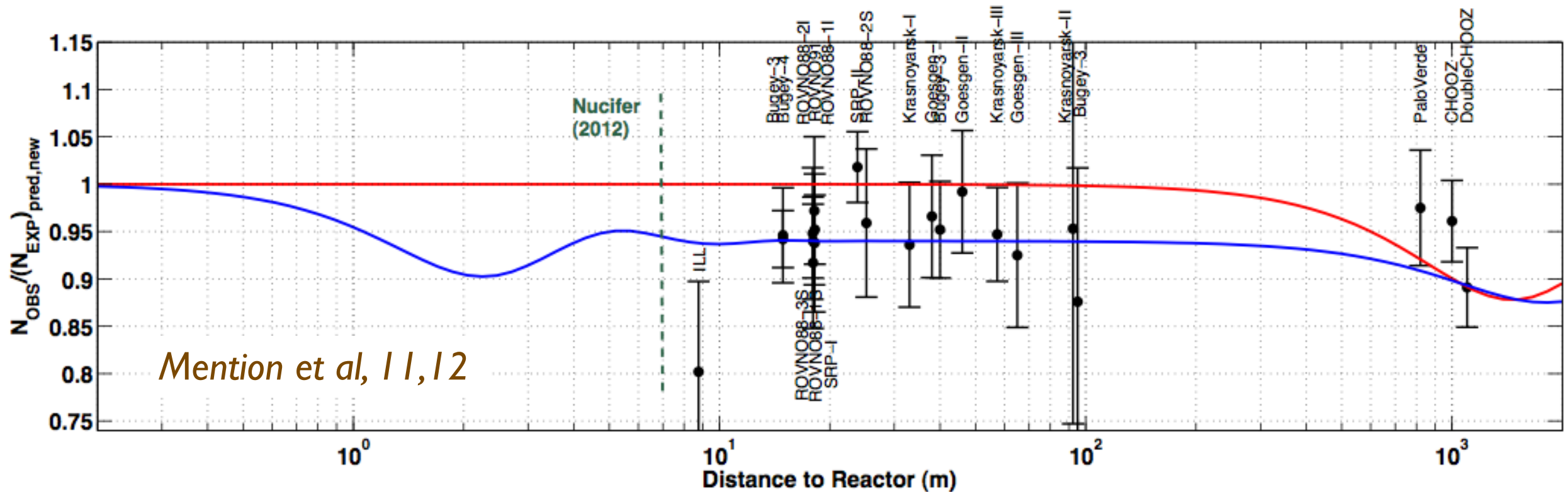
Hints for eV sterile neutrinos

- *Reactor anomaly ($\bar{\nu}_e$ disappearance)*
- *Gallium anomaly (ν_e disappearance)*
- *LSND ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e$ appearance)*
- *MiniBooNE ($\bar{\nu}_\mu \rightarrow \bar{\nu}_e, \nu_\mu \rightarrow \nu_e$ appearance)*

Can they all be consistent and respect bounds on eV-scale oscillations?

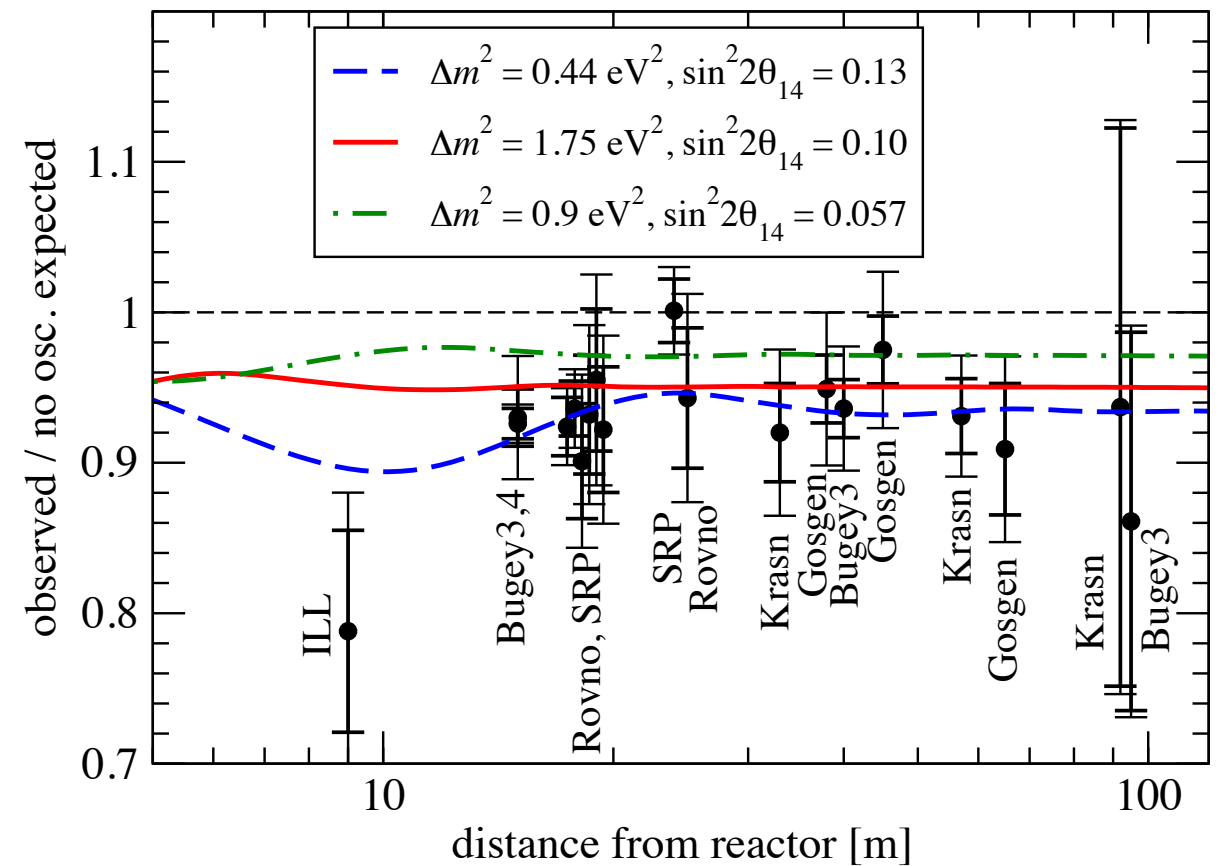
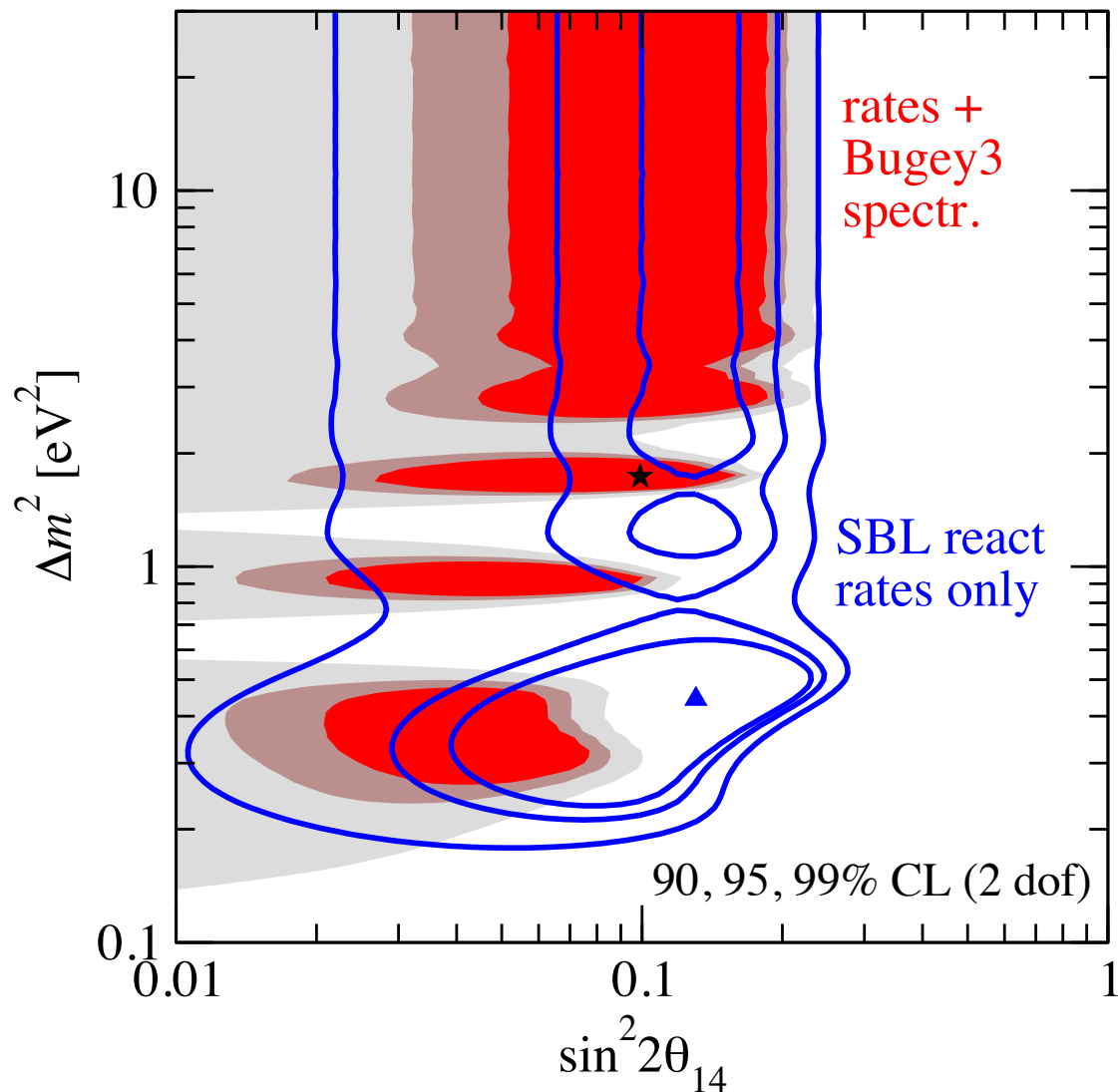
will not speak about cosmological implications,
see talks by G. Miele, N. Saviano, L. Verde, C. Giunti on Wed

The reactor anomaly



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The reactor anomaly and sterile neutrinos

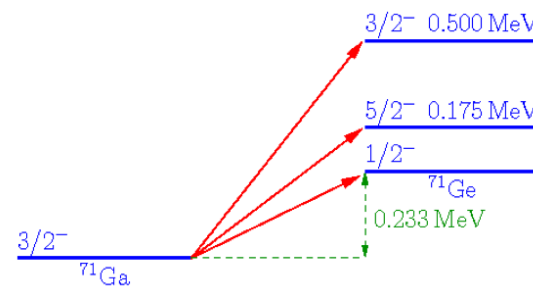


| | $\sin^2 2\theta_{14}$ | Δm_{41}^2 [eV ²] | χ_{\min}^2/dof (GOF) | $\Delta\chi_{\text{no-osc}}^2$ (CL) |
|---------------------------|-----------------------|--------------------------------------|----------------------------------|-------------------------------------|
| SBLR rates only | 0.13 | 0.44 | 11.5/17 (83%) | 11.4/2 (99.7%) |
| SBLR incl. Bugey3 spectr. | 0.10 | 1.75 | 58.3/74 (91%) | 9.0/2 (98.9%) |

The Gallium anomaly

Calibration data of Ga solar neutrino experiments with radioactive sources show a deficit compared to expectations.

the reaction $\nu_e + {}^{71}\text{Ga} \rightarrow {}^{71}\text{Ge} + e^-$ can proceed to the ground state or through excited states of ${}^{71}\text{Ge}$



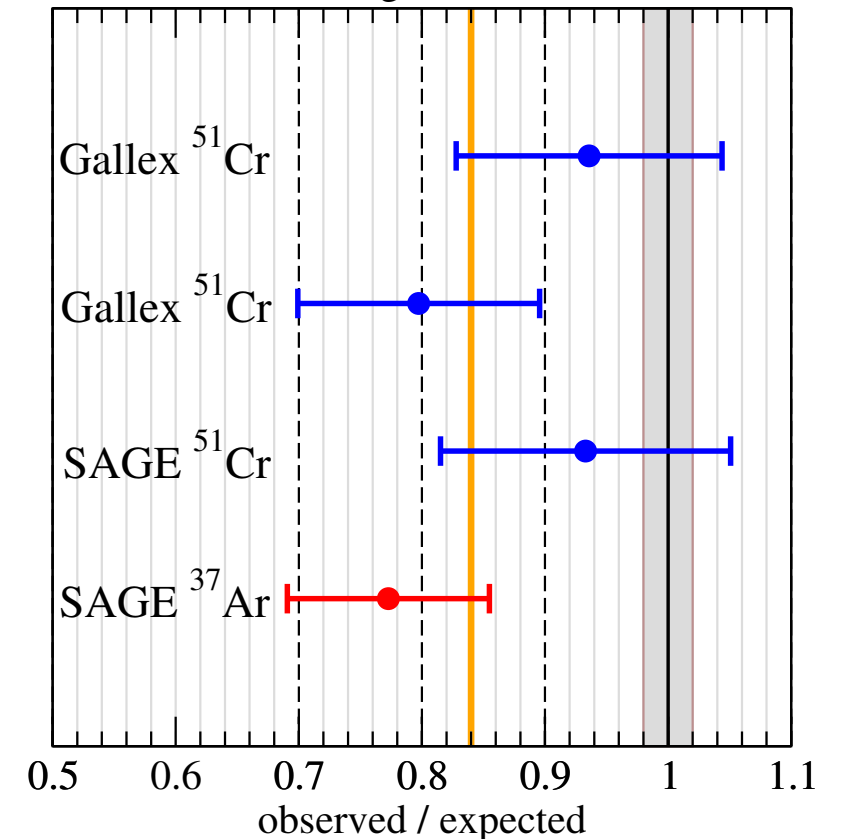
recent measurement of ${}^{71}\text{Ga}({}^3\text{He}, t){}^{71}\text{Ge}$ D. Frekers et al., PLB 706, 134

$$\frac{\text{BGT}_{175}}{\text{BGT}_{\text{g.s.}}} = 0.0399 \pm 0.0305$$

$$\frac{\text{BGT}_{500}}{\text{BGT}_{\text{g.s.}}} = 0.207 \pm 0.016$$

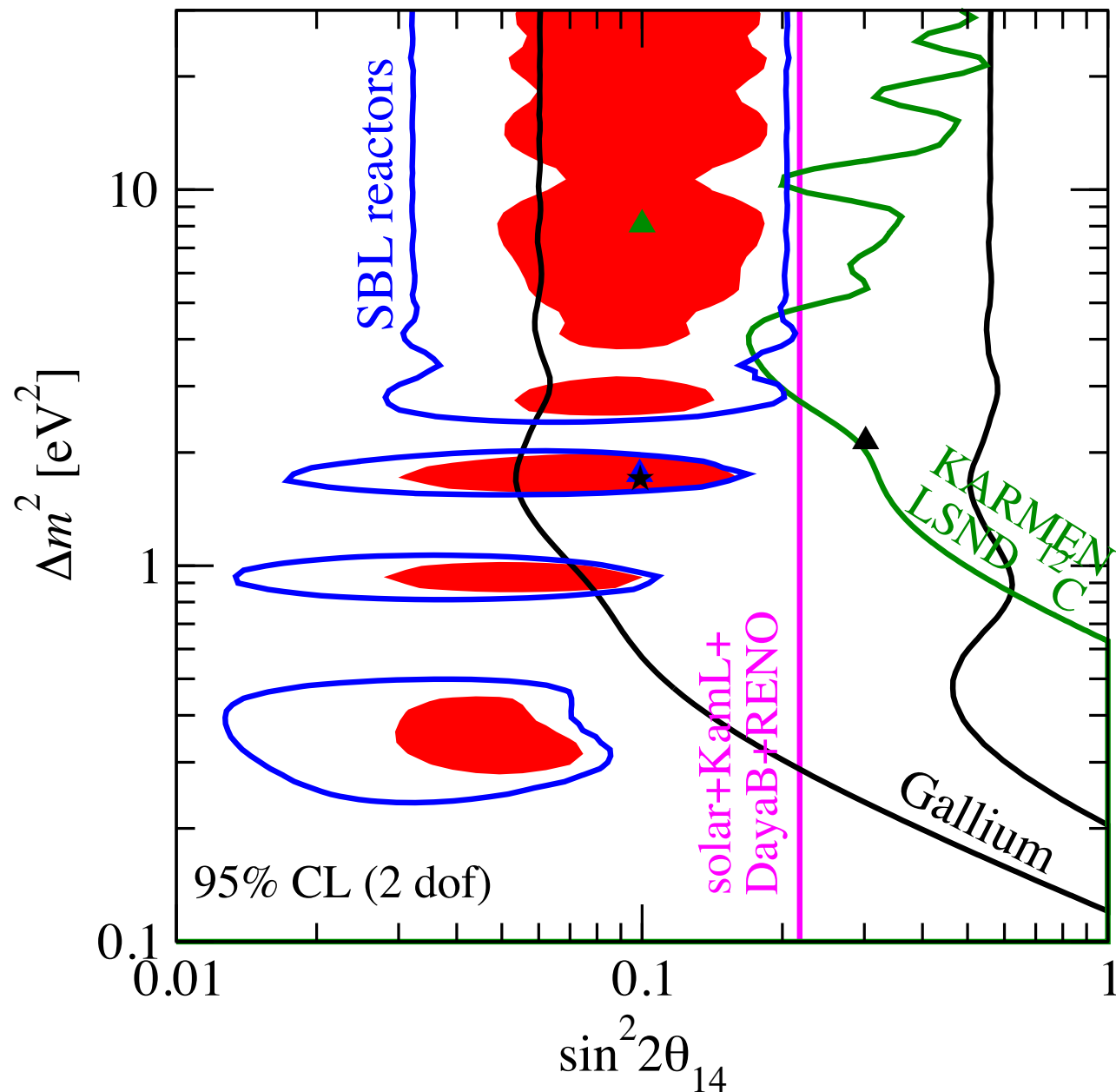
⇒ contribution of $7.2 \pm 2.0\%$ from excited states (for ${}^{51}\text{Cr}$)

Gallium data using Frekers et al PLB11



combined fit: $\chi^2_{\text{min}} = 2.3/3 \text{ dof}$ $r = 0.84^{+0.054}_{-0.051}$ $\Delta\chi^2_{r=1} = 8.7 (2.9\sigma)$

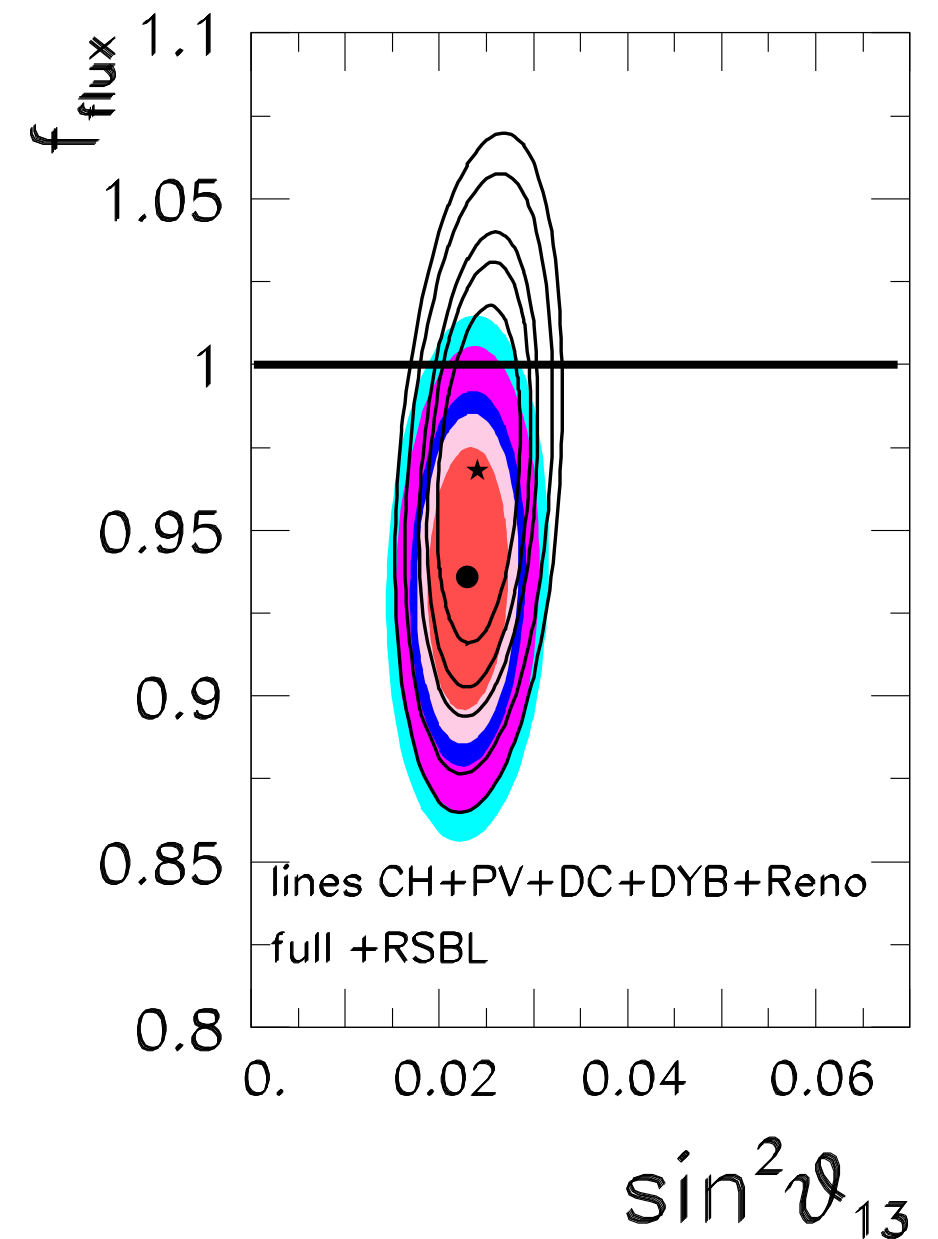
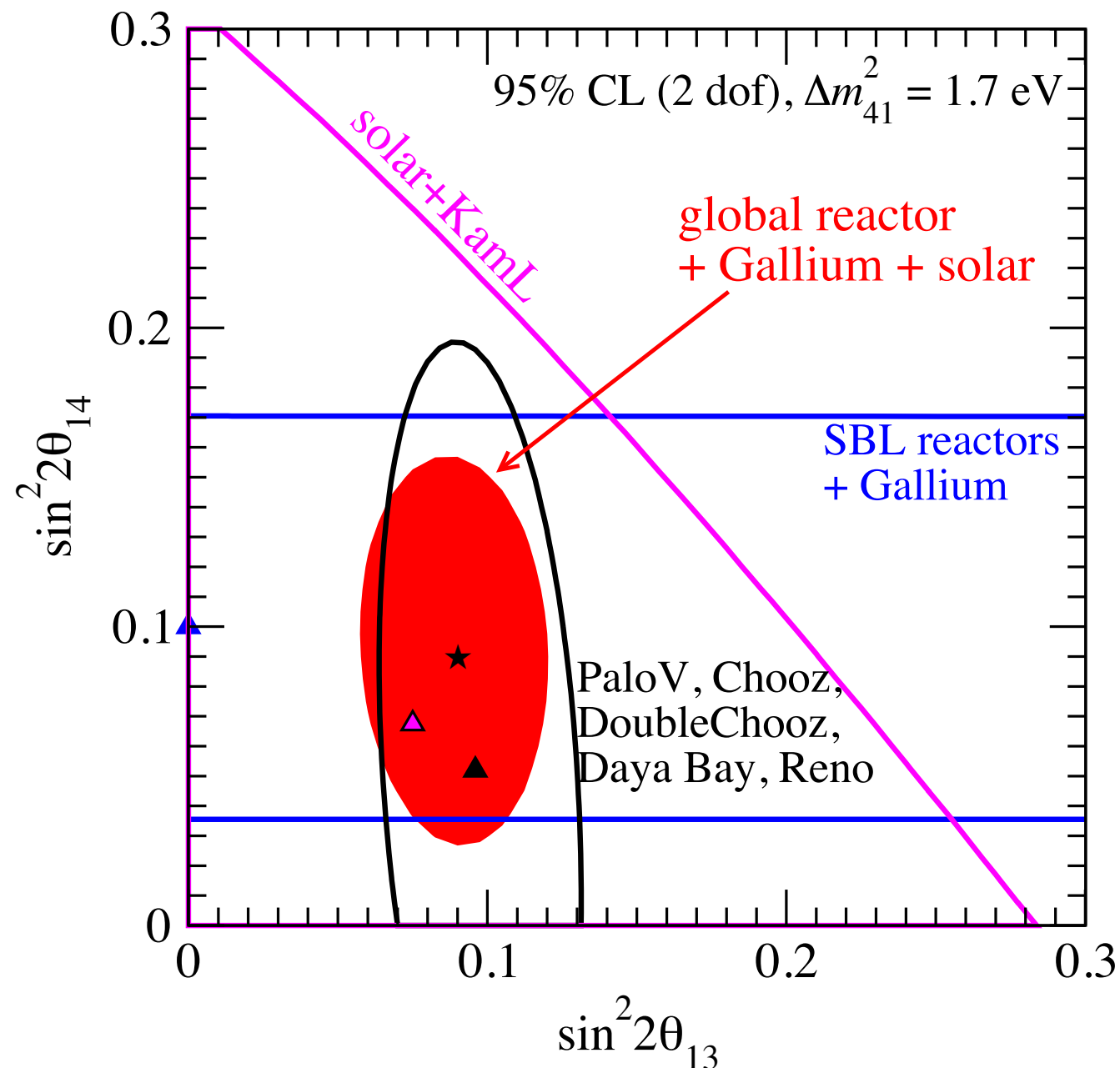
Global data on ν_e disappearance



- ▶ ν_e disappearance constraints from LSND and KARMEN
 LSND and KARMEN measure the cross section for $\nu_e + {}^{12}\text{C} \rightarrow {}^{12}\text{N} + e^-$ consistent with expectations
 → limit on ν_e disappearance Conrad, Shaevitz, 1106.5552
- ▶ solar neutrinos
 degeneracy between θ_{13} and θ_{14} e.g., Palazzo, 1105.1705

no oscillations excluded at 99.8% CL

Global data on ν_e disappearance



impact of eV oscillations on θ_{13} determination

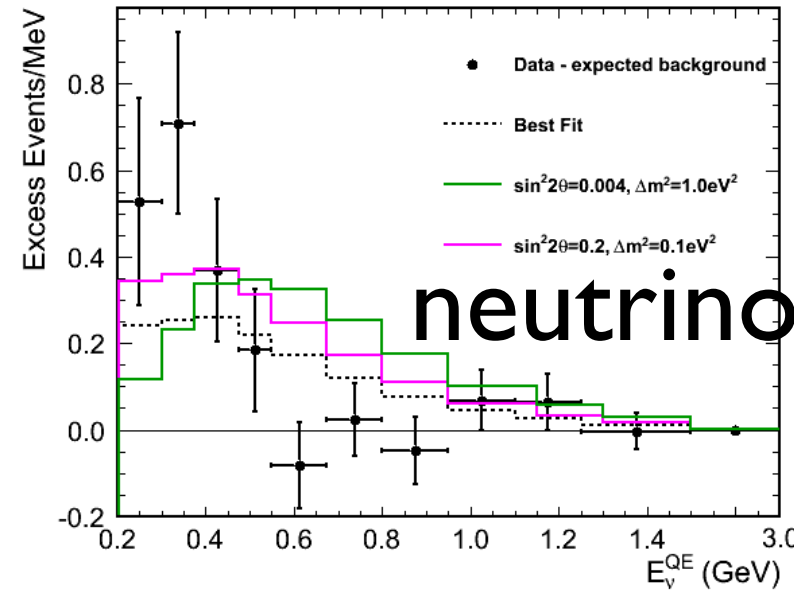
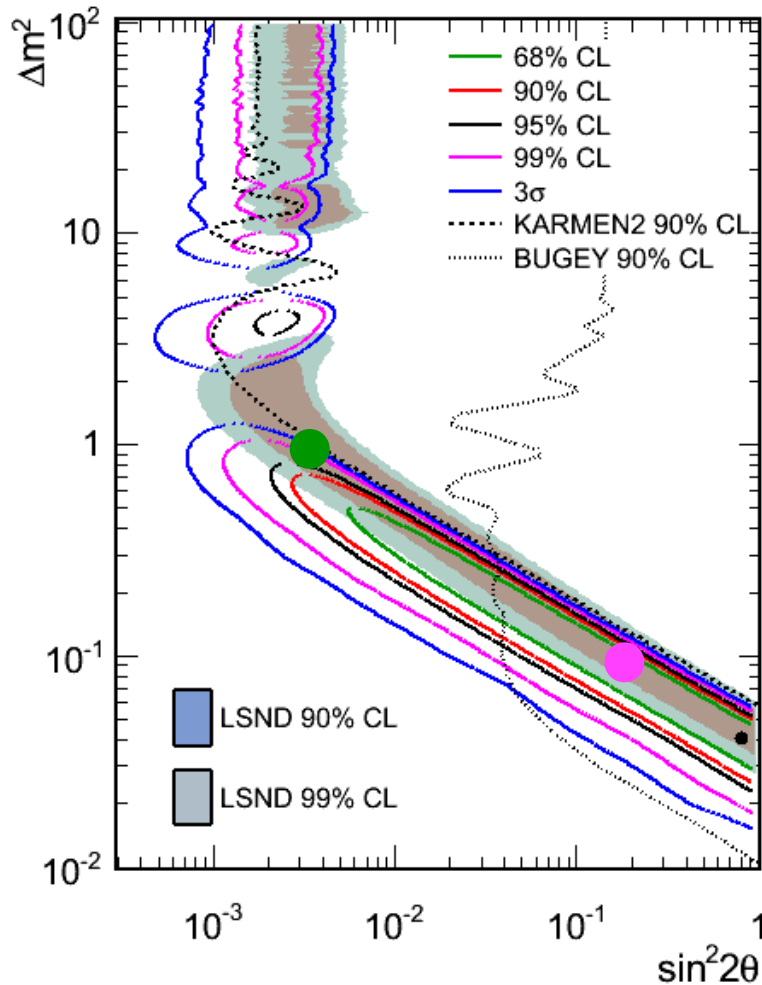
Appearance results from MiniBooNE

Chris Polly @ Neutrino2012, 1207.4809

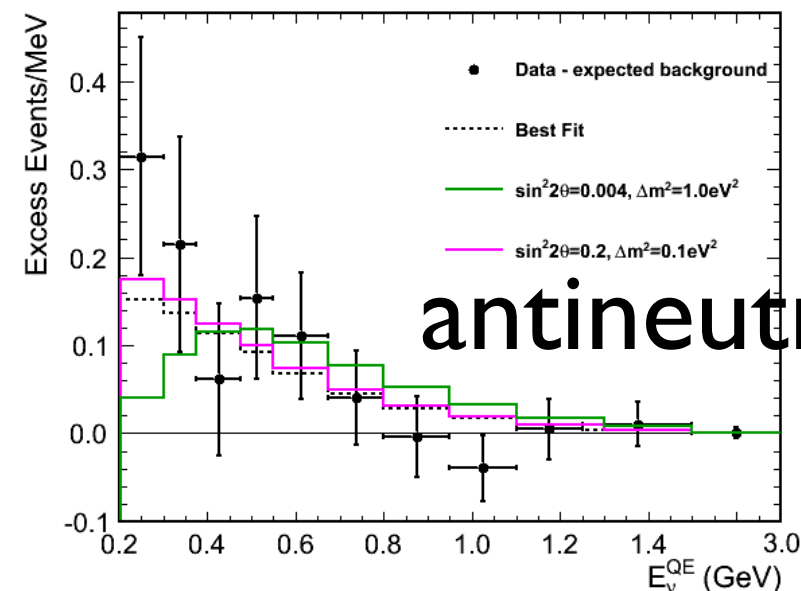
Simultaneous 3+1 fit to ν and anti- ν data

- WS accounted for properly
- Construction of correlated systematic error matrix (Z. Pavlovic)
- $E > 200$ MeV BF preferred at 3.8σ over null

Total Excess: $240.3 \pm 34.5 \pm 52.6$



* Simultaneous fit ($E > 200$ MeV) with fully-correlated systematic to entire MB neutrino and anti-neutrino data



| combined | $E > 200$ MeV | $E > 475$ MeV |
|-----------------------|---------------|---------------|
| $\chi^2(\text{null})$ | 42.53 | 12.87 |
| Prob(null) | 0.1% | 35.8% |
| $\chi^2(\text{bf})$ | 24.72 | 10.67 |
| Prob(bf) | 6.7% | 35.8% |

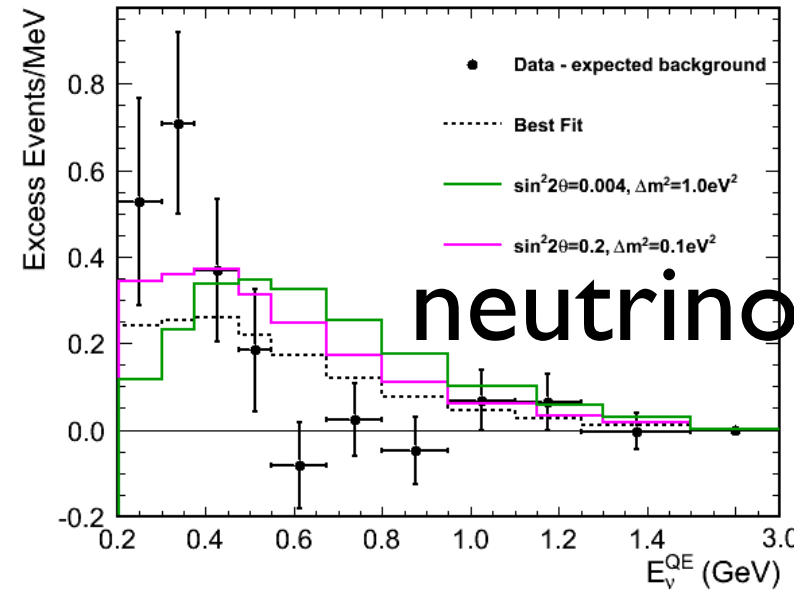
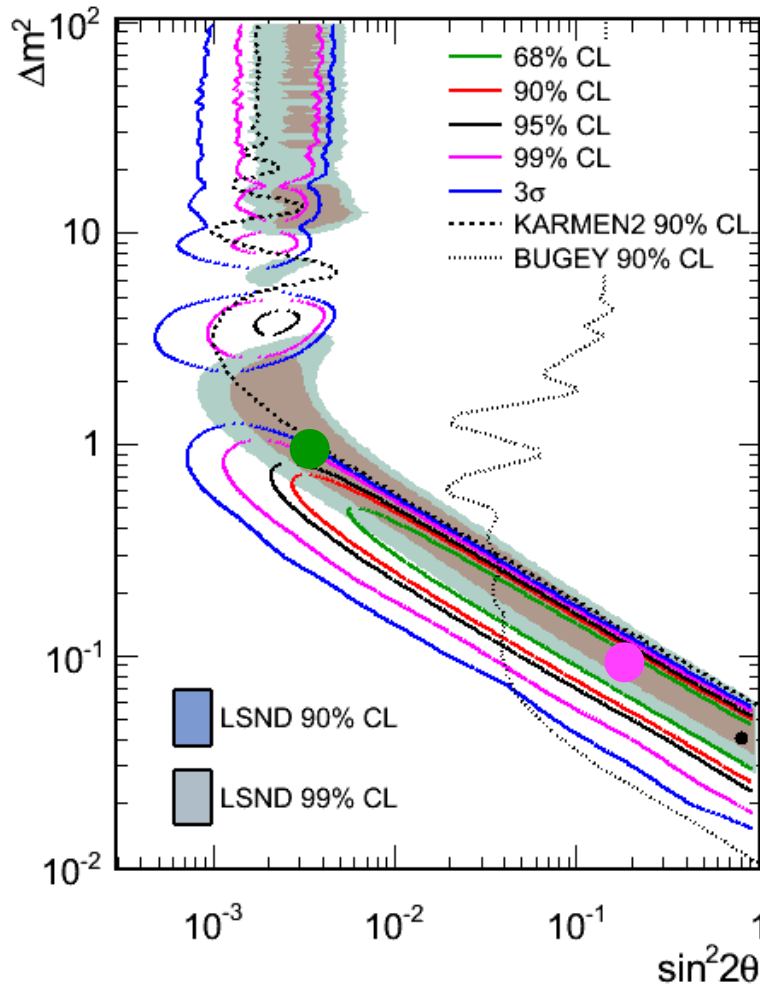
Appearance results from MiniBooNE

Chris Polly @ Neutrino2012, 1207.4809

Simultaneous 3+1 fit to ν and anti- ν data

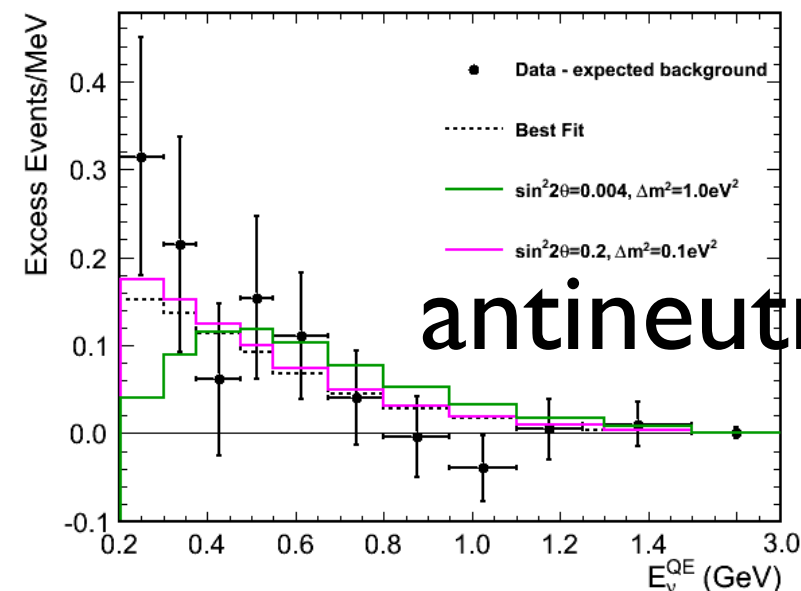
- WS accounted for properly
- Construction of correlated systematic error matrix (Z. Pavlovic)
- E > 200 MeV BF preferred at 3.8σ over null**

Total Excess: $240.3 \pm 34.5 \pm 52.6$



LSND: 3.8σ

* Simultaneous fit (E > 200 MeV) with fully-correlated systematic to entire MB neutrino and anti-neutrino data



| combined | E > 200 MeV | E > 475 MeV |
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| $\chi^2(\text{null})$ | 42.53 | 12.87 |
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| $\chi^2(\text{bf})$ | 24.72 | 10.67 |
| Prob(bf) | 6.7% | 35.8% |

Fitting all together?

3+1 SBL oscillations

appearance

$$P_{\mu e} = \sin^2 2\theta_{\mu e} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\mu e} = 4|U_{e4}|^2|U_{\mu4}|^2$$

disappearance ($\alpha = e, \mu$)

$$P_{\alpha\alpha} = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\alpha\alpha} = 4|U_{\alpha4}|^2(1 - |U_{\alpha4}|^2)$$

- ▶ effective 2-flavour oscillations
- ▶ no CP violation \rightarrow same results for $\bar{\nu}$ (LSND, MB) and ν (MB) data

Fitting all together?

3+1 SBL oscillations

appearance

$$P_{\mu e} = \sin^2 2\theta_{\mu e} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\mu e} = 4|U_{e4}|^2|U_{\mu 4}|^2$$

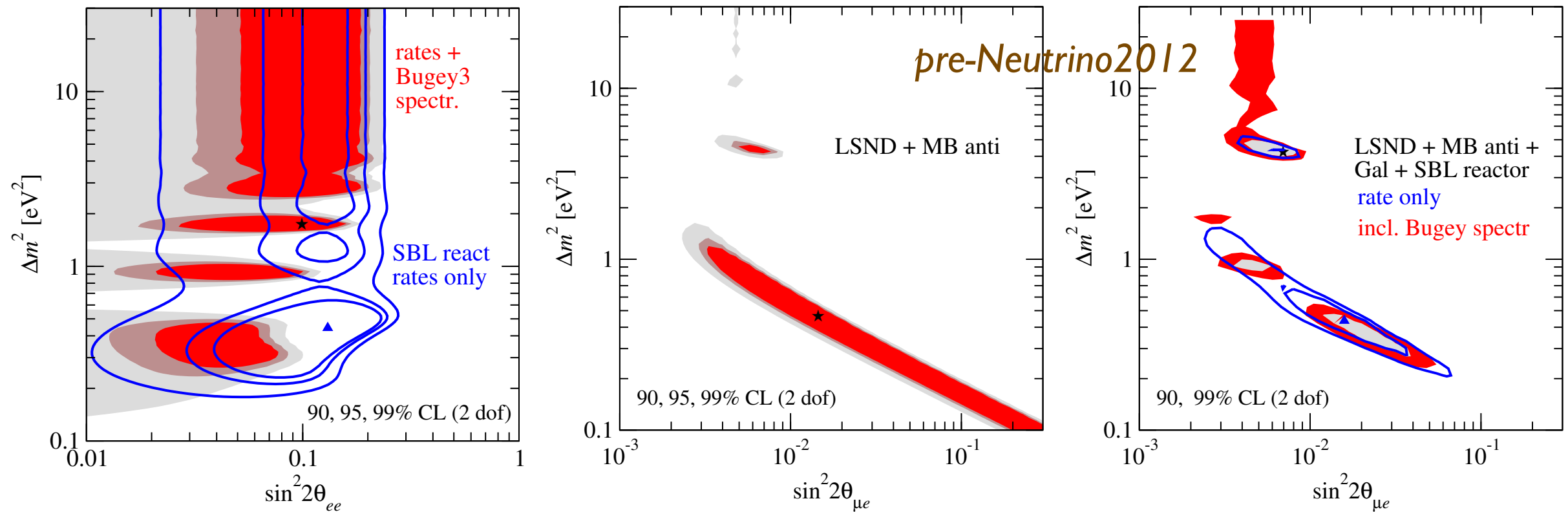
disappearance ($\alpha = e, \mu$)

$$P_{\alpha\alpha} = 1 - \sin^2 2\theta_{\alpha\alpha} \sin^2 \frac{\Delta m_{41}^2 L}{4E} \quad \sin^2 2\theta_{\alpha\alpha} = 4|U_{\alpha 4}|^2(1 - |U_{\alpha 4}|^2)$$

$$\sin^2 2\theta_{\mu e} \approx \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu}$$

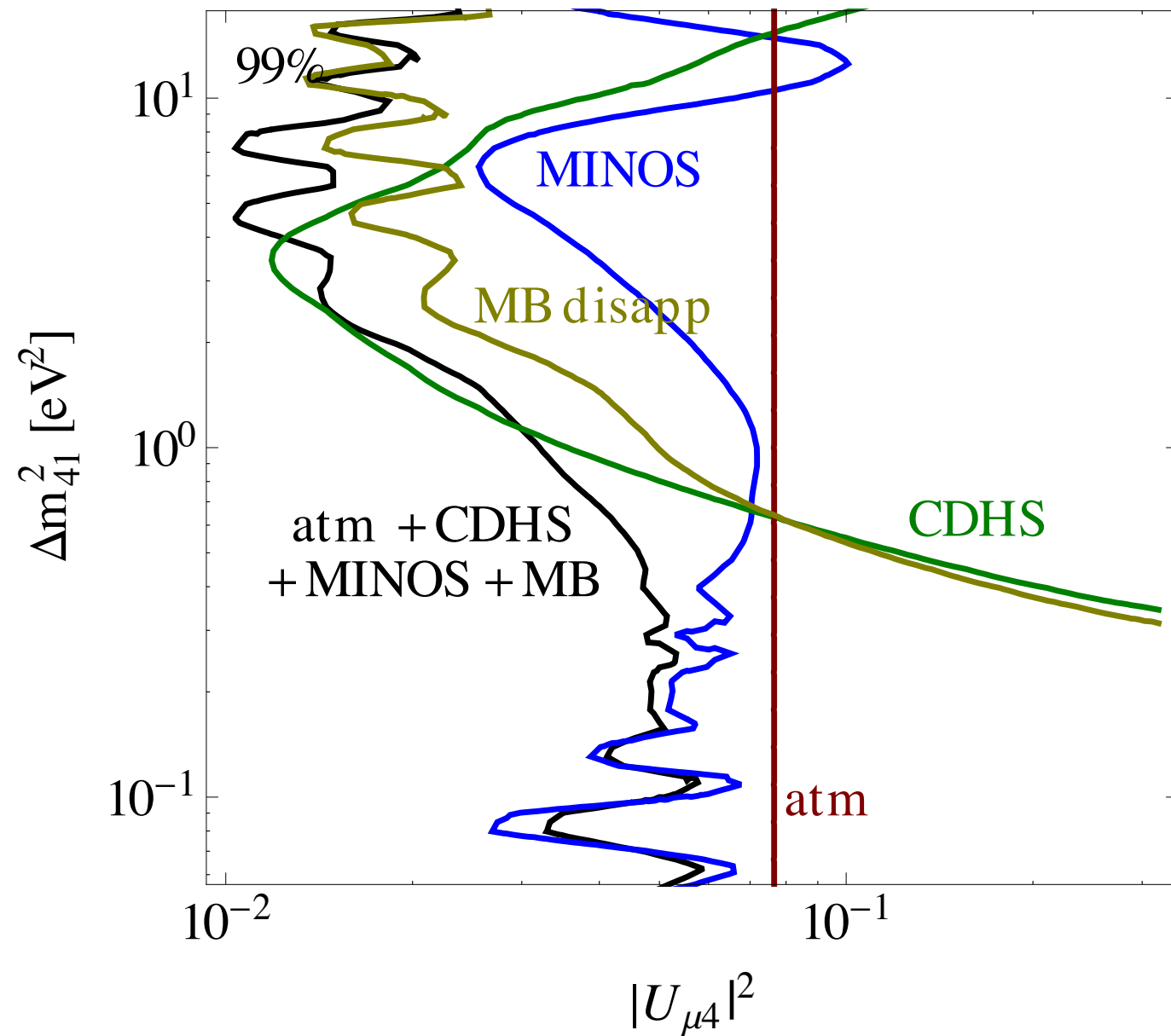
$\nu_\mu \rightarrow \nu_e$ app. signal **requires** also signal in both, ν_e and ν_μ disappearance
(appearance mixing angle quadratically suppressed)

ν_e disap vs $\nu_\mu \rightarrow \nu_e$ appearance



- reactor+Ga anomalies and LSND+MB hints are perfectly consistent, BUT...

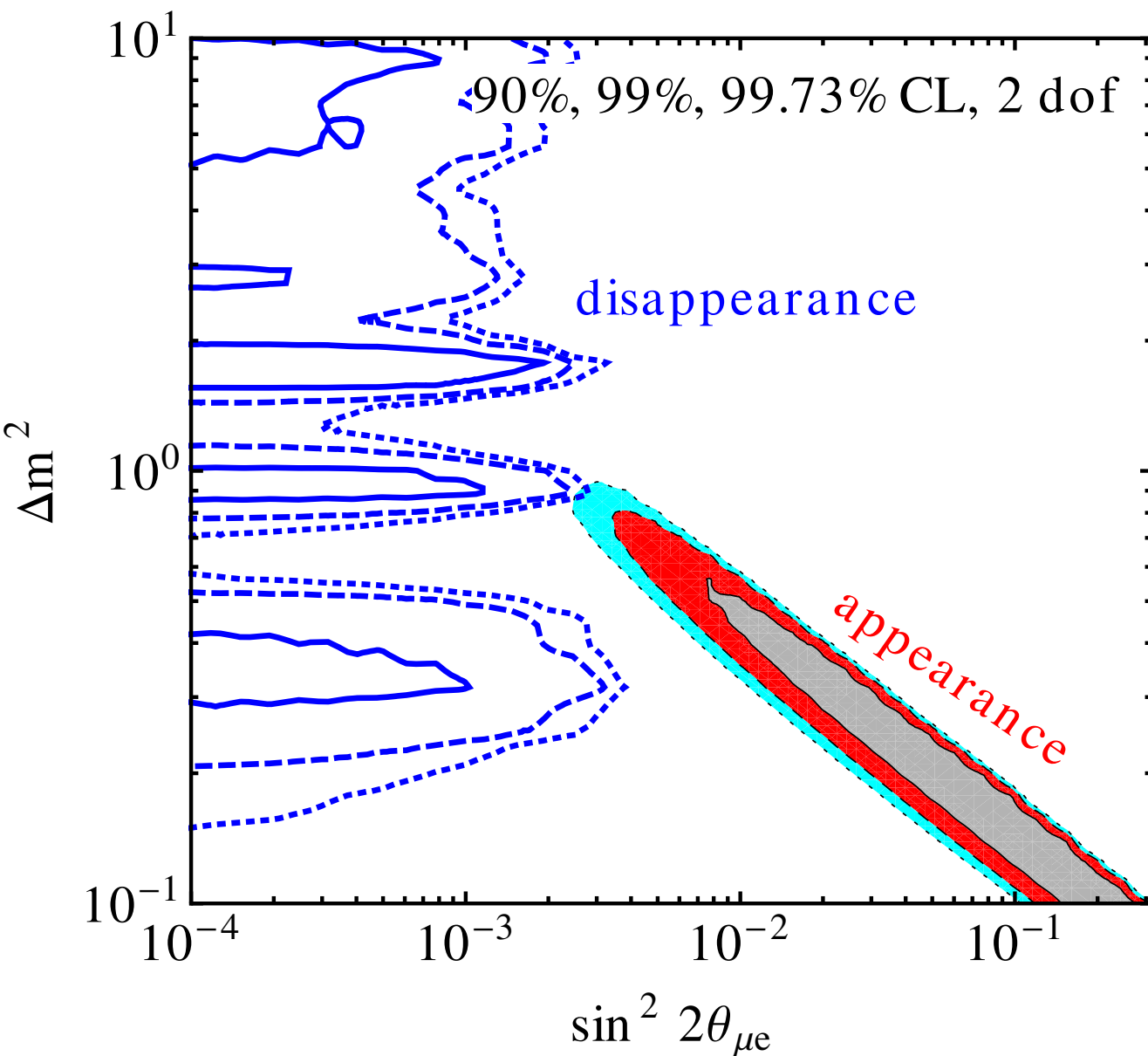
Constraints on ν_μ disappearance



- CDHS, atmospheric neutrinos, MINOS, MiniBooNE
- additional constraints from IceCube (not used)

Nunokawa, Peres, Zukanovich, 03,
Coubey, 07, Razzaque, Smirnov,
11, 12, Esmaili, Halzen, Peres, 12

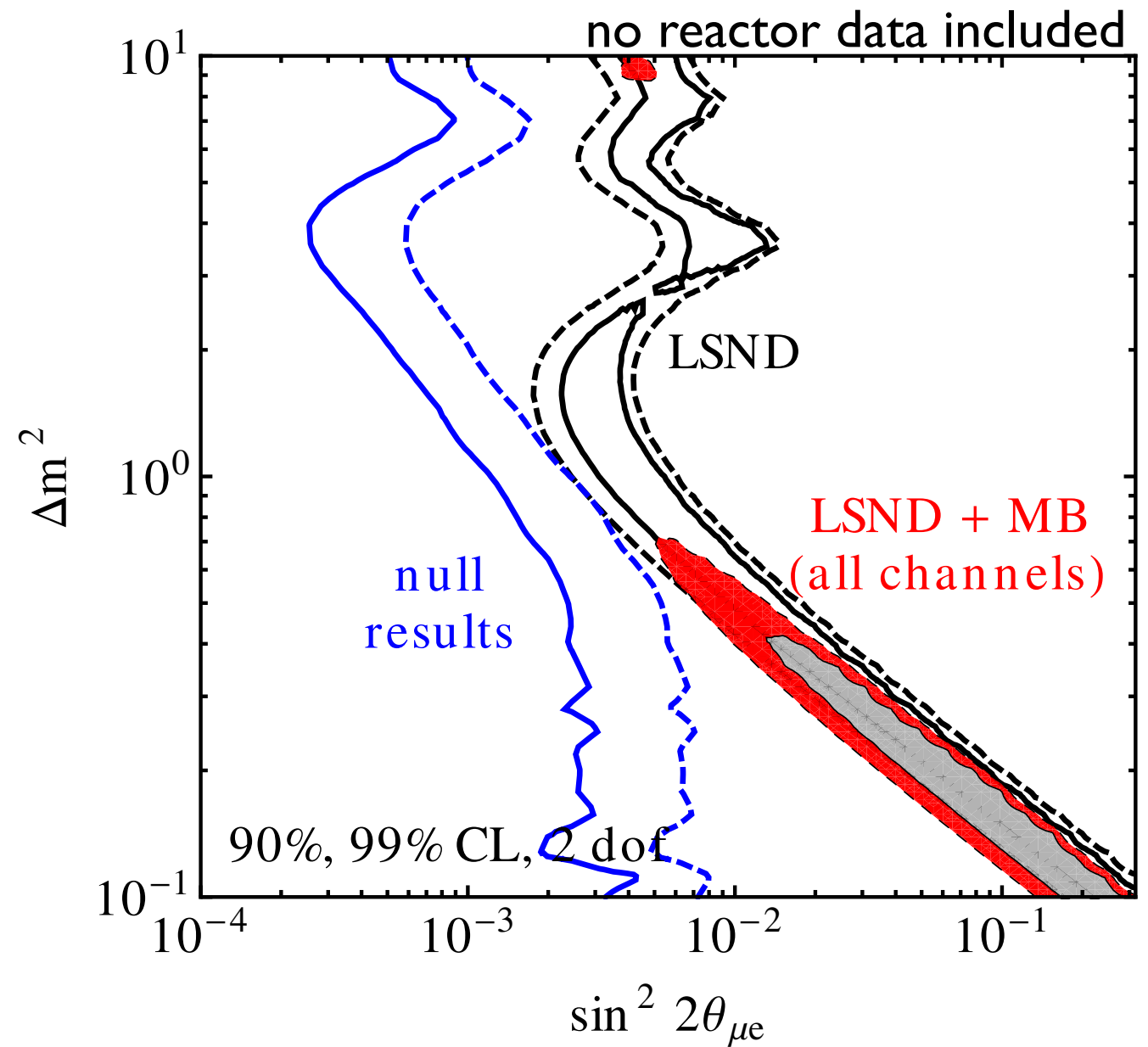
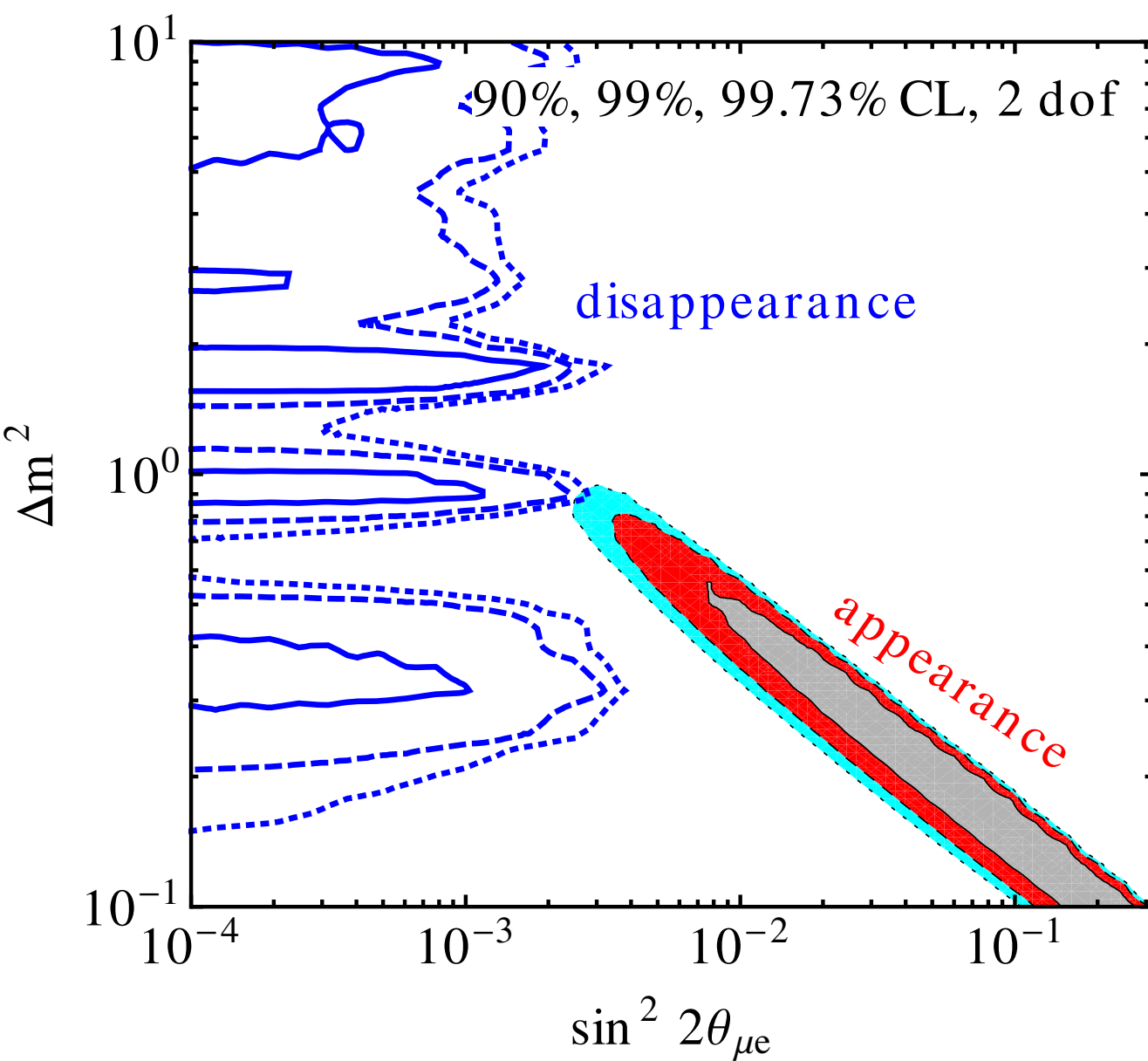
Strong tension in global data



| | | |
|---|---------------|-------|
| χ^2/dof (all) | = 603.2 / 573 | 18% |
| χ^2/dof (disapp) | = 486.3 / 505 | 72% |
| χ^2/dof (app) | = 97.4 / 68 | 1.1% |
| $\chi_{\text{PG}}^2/\text{dof}$ (dis/app) | = 19.6 / 2 | 0.06% |

J. Kopp, P. Machado, M. Maltoni, TS (to appear)

Strong tension in global data



J. Kopp, P. Machado, M. Maltoni, TS (to appear)

Strong tension in global data

there are three classes of data:

| | |
|---|---------------------------|
| $\nu_e \rightarrow \nu_e$ disappearance | $\sin^2 2\theta_{ee}$ |
| $\nu_\mu \rightarrow \nu_\mu$ disappearance | $\sin^2 2\theta_{\mu\mu}$ |
| $\nu_\mu \rightarrow \nu_e$ appearance | $\sin^2 2\theta_{\mu e}$ |

$$\sin^2 2\theta_{\mu e} \approx \frac{1}{4} \sin^2 2\theta_{ee} \sin^2 2\theta_{\mu\mu}$$

- ▶ each combination of **two** sets is consistent
(they depend on different mixing parameters)
- ▶ **BUT:** strong tension if all three of them are combined

Adding more sterile neutrinos?

3+2 SBL oscillations:

appearance:

$$P_{\nu_\mu \rightarrow \nu_e} = 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \phi_{41} + 4 |U_{e5}|^2 |U_{\mu5}|^2 \sin^2 \phi_{51} \\ + 8 |U_{e4} U_{\mu4} U_{e5} U_{\mu5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta)$$

disappearance:

$$P_{\nu_\alpha \rightarrow \nu_\alpha} \approx 1 - 4 \sum_{i=4,5} |U_{\alpha i}|^2 \sin^2 \phi_{i1} - 4 |U_{\alpha4}|^2 |U_{\alpha5}|^2 \sin^2 \phi_{54}$$

$$[\phi_{ij} \equiv \Delta m_{ij}^2 L / 4E]$$

► phase $\delta \equiv \arg \left(U_{e4}^* U_{\mu4} U_{e5} U_{\mu5}^* \right) \rightarrow$ CP violation

Karagiorgi et al. 06; Maltoni, TS 07

Adding more sterile neutrinos?

3+2 SBL oscillations:

appearance:

$$P_{\nu_\mu \rightarrow \nu_e} = 4 |U_{e4}|^2 |U_{\mu4}|^2 \sin^2 \phi_{41} + 4 |U_{e5}|^2 |U_{\mu5}|^2 \sin^2 \phi_{51} \\ + 8 |U_{e4} U_{\mu4} U_{e5} U_{\mu5}| \sin \phi_{41} \sin \phi_{51} \cos(\phi_{54} - \delta)$$

disappearance:

$$P_{\nu_\alpha \rightarrow \nu_\alpha} \approx 1 - 4 \sum_{i=4,5} |U_{\alpha i}|^2 \sin^2 \phi_{i1} - 4 |U_{\alpha4}|^2 |U_{\alpha5}|^2 \sin^2 \phi_{54}$$

$$[\phi_{ij} \equiv \Delta m_{ij}^2 L / 4E]$$

- ▶ **BUT:** constrain $|U_{ei}|$ and $|U_{\mu i}|$ ($i = 4, 5$) from disappearance to be reconciled with appearance amplitudes $|U_{ei} U_{\mu i}|$

Conrad, Ignarra,
Karagiorgi,
Shaevitz, Spitz,
1207.4765

3+1 vs 3+2
 $\Delta \chi^2=12.4$
4 dof
98.6 % CL

3+2 vs 3+3
 $\Delta \chi^2=3.3$
5 dof

| | χ_{min}^2 (dof) | χ_{null}^2 (dof) | P_{best} | P_{null} | χ_{PG}^2 (dof) | PG (%) |
|-----------------------|----------------------|-----------------------|------------|------------|---------------------|---------|
| 3+1 | | | | | | |
| All | 233.9 (237) | 286.5 (240) | 55% | 2.1% | 54.0 (24) | 0.043% |
| App | 87.8 (87) | 147.3 (90) | 46% | 0.013% | 14.1 (9) | 12% |
| Dis | 128.2 (147) | 139.3 (150) | 87% | 72% | 22.1 (19) | 28% |
| ν | 123.5 (120) | 133.4 (123) | 39% | 25% | 26.6 (14) | 2.2% |
| $\bar{\nu}$ | 94.8 (114) | 153.1 (117) | 90% | 1.4% | 11.8 (7) | 11% |
| App vs. Dis | - | - | - | - | 17.8 (2) | 0.013% |
| ν vs. $\bar{\nu}$ | - | - | - | - | 15.6 (3) | 0.14% |
| 3+2 | | | | | | |
| All | 221.5 (233) | 286.5 (240) | 69% | 2.1% | 63.8 (52) | 13% |
| App | 75.0 (85) | 147.3 (90) | 77% | 0.013% | 16.3 (25) | 90% |
| Dis | 122.6 (144) | 139.3 (150) | 90% | 72% | 23.6 (23) | 43% |
| ν | 116.8 (116) | 133.4 (123) | 77% | 25% | 35.0 (29) | 21% |
| $\bar{\nu}$ | 90.8 (110) | 153.1 (117) | 90% | 1.4% | 15.0 (16) | 53% |
| App vs. Dis | - | - | - | - | 23.9 (4) | 0.0082% |
| ν vs. $\bar{\nu}$ | - | - | - | - | 13.9 (7) | 5.3% |
| 3+3 | | | | | | |
| All | 218.2 (228) | 286.5 (240) | 67% | 2.1% | 68.9 (85) | 90% |
| App | 70.8 (81) | 147.3 (90) | 78% | 0.013% | 17.6 (45) | 100% |
| Dis | 120.3 (141) | 139.3 (150) | 90% | 72% | 24.1 (34) | 90% |
| ν | 116.7 (111) | 133.4 (123) | 34% | 25% | 39.5 (46) | 74% |
| $\bar{\nu}$ | 90.6 (105) | 153 (117) | 84% | 1.4% | 18.5 (27) | 89% |
| App vs. Dis | - | - | - | - | 28.3 (6) | 0.0081% |
| ν vs. $\bar{\nu}$ | - | - | - | - | 110.9 (12) | 53% |

Conrad, Ignarra,
Karagiorgi,
Shaevitz, Spitz,
1207.4765

| | χ_{min}^2 (dof) | χ_{null}^2 (dof) | P_{best} | P_{null} | χ_{PG}^2 (dof) | PG (%) |
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| App vs. Dis | - | - | - | - | 28.3 (6) | 0.0081% |
| ν vs. $\bar{\nu}$ | - | - | - | - | 110.9 (12) | 53% |

Adding more sterile neutrinos?

- *Motivation for CP violation no longer there (MB neutrino and antineutrino are consistent)*
- *More neutrinos cannot solve the appearance-disappearance tension*
- *Fit to MiniB low-E data not improved in global fit*
- *May create more problems with cosmology*

Summary - three flavour

- global fit gives determination of θ_{13} with $\Delta\chi^2 \approx 100$, small dependence on reactor anomaly remains
- indications of non-maximal value of θ_{23} at 2σ (driven by MINOS), octant sensitivity from atmospheric data (below 1.5σ , depends on mass ordering)
- certain regions of δ_{CP} “disfavoured” at 1σ
- no sensitivity to mass ordering ($\Delta\chi^2 \approx 0.5$)

Summary - sterile neutrinos

- *hints from reactor and Ga anomalies at $\sim 3\sigma$
(not in tension with other data)*
- *hints from LSND, MiniBooNE $\sim 3.8\sigma$
low-E MiniB data not well fitted (few% prob)*
- *strong tension in global fit (constraints from ν_μ
disappearance experiments)*
- *no significant improvement by more sterile
neutrinos*

Thanks...

- ...to my NuFIT collaborators
C. Gonzalez-Garcia,
M. Maltoni, J. Salvado



- ...to my sterile-nu collaborators
J. Kopp, M. Maltoni, P. Machado (to appear)

Thanks...

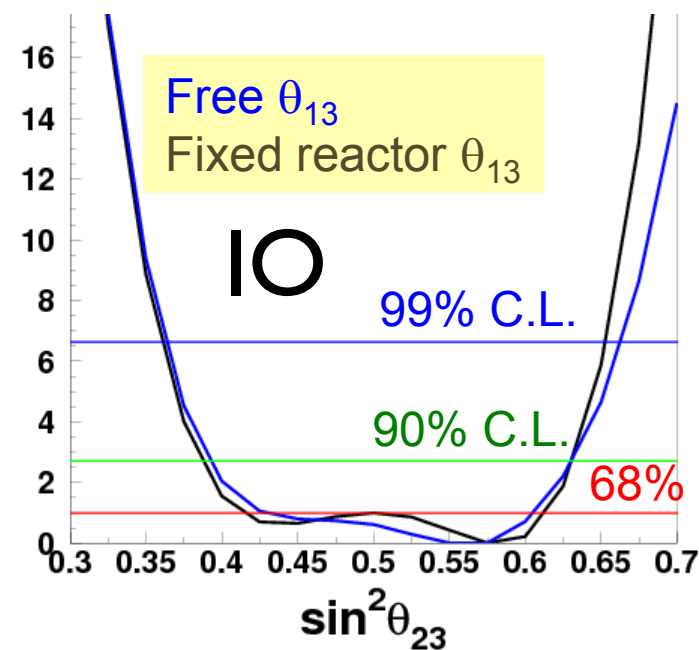
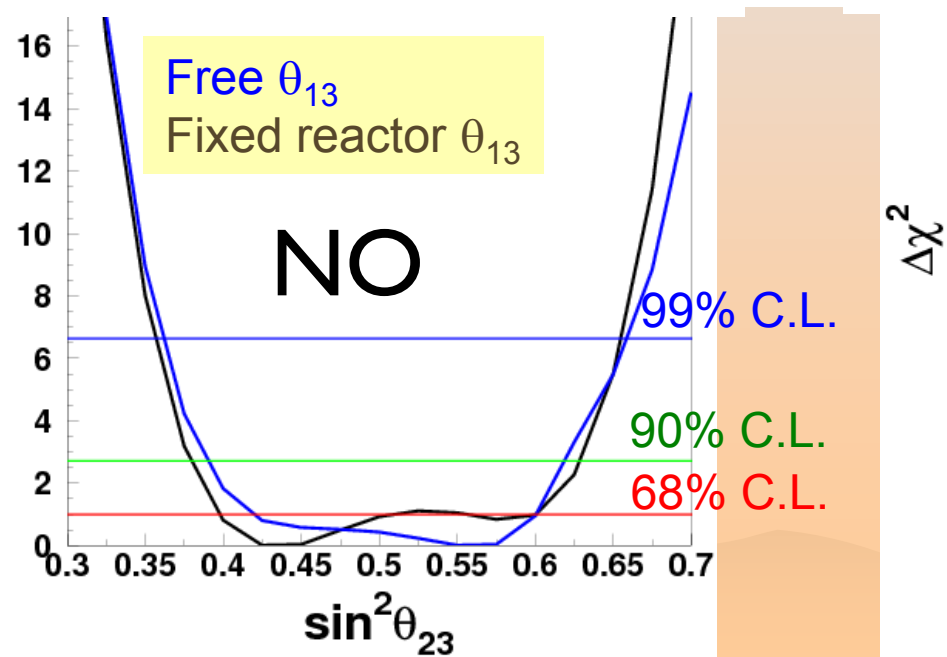
- ...to my NuFIT collaborators
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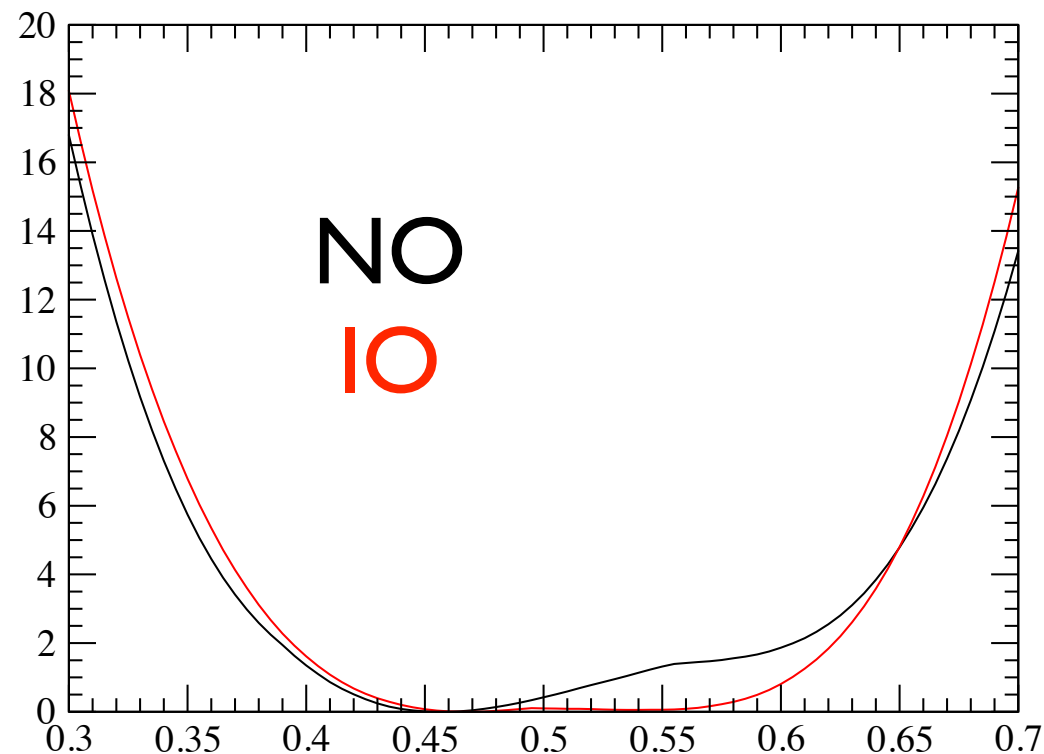
- ...to my sterile-nu collaborators
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- ...*to you, for your attention!*

additional slides

Comparison with SuperK



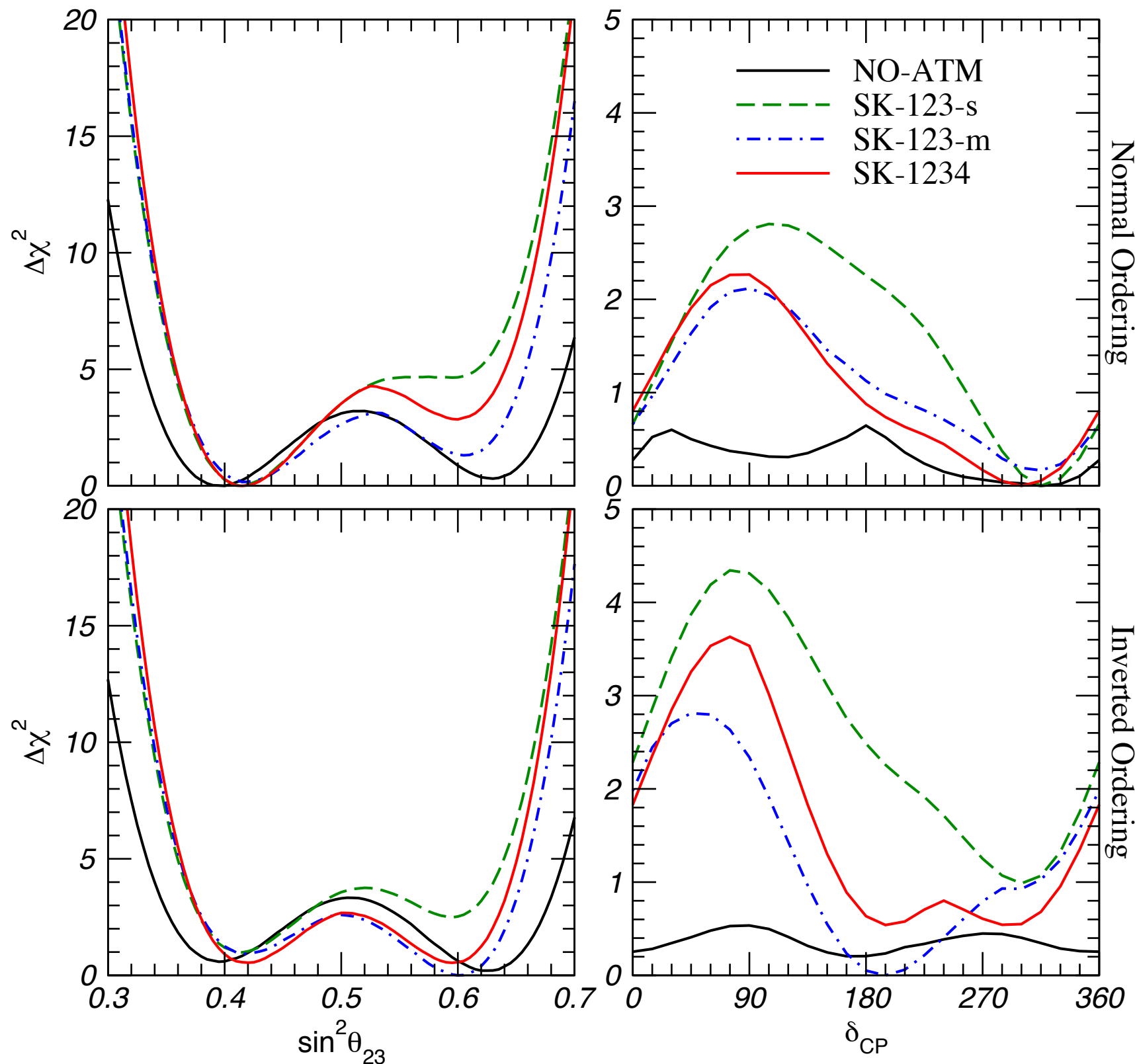
Itow (SuperK), talk at Neutrino2012



*our SK I-4 only fit
 θ_{13} fixed*

→ sensitivity to octant manifests itself only together with the MINOS hint for non-maximality

Impact of latest SK1-4 data in global fit



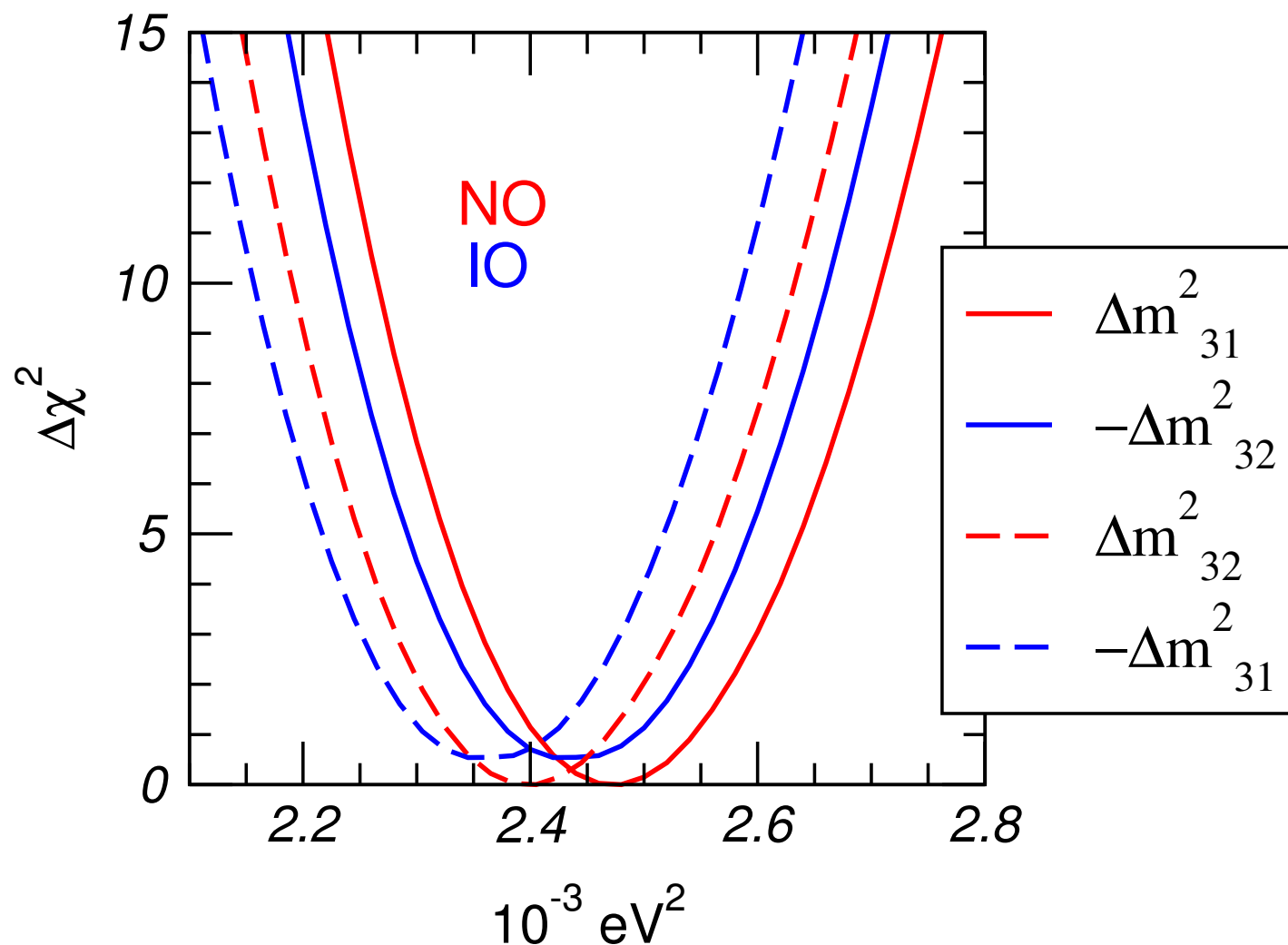
previous SK1-3
analysis by Maltoni
et al.

same data but
sub-GeV sample
merged

adding SK4 data
(+ 1097 days) and
using new flux
predictions
(Honda et al II)

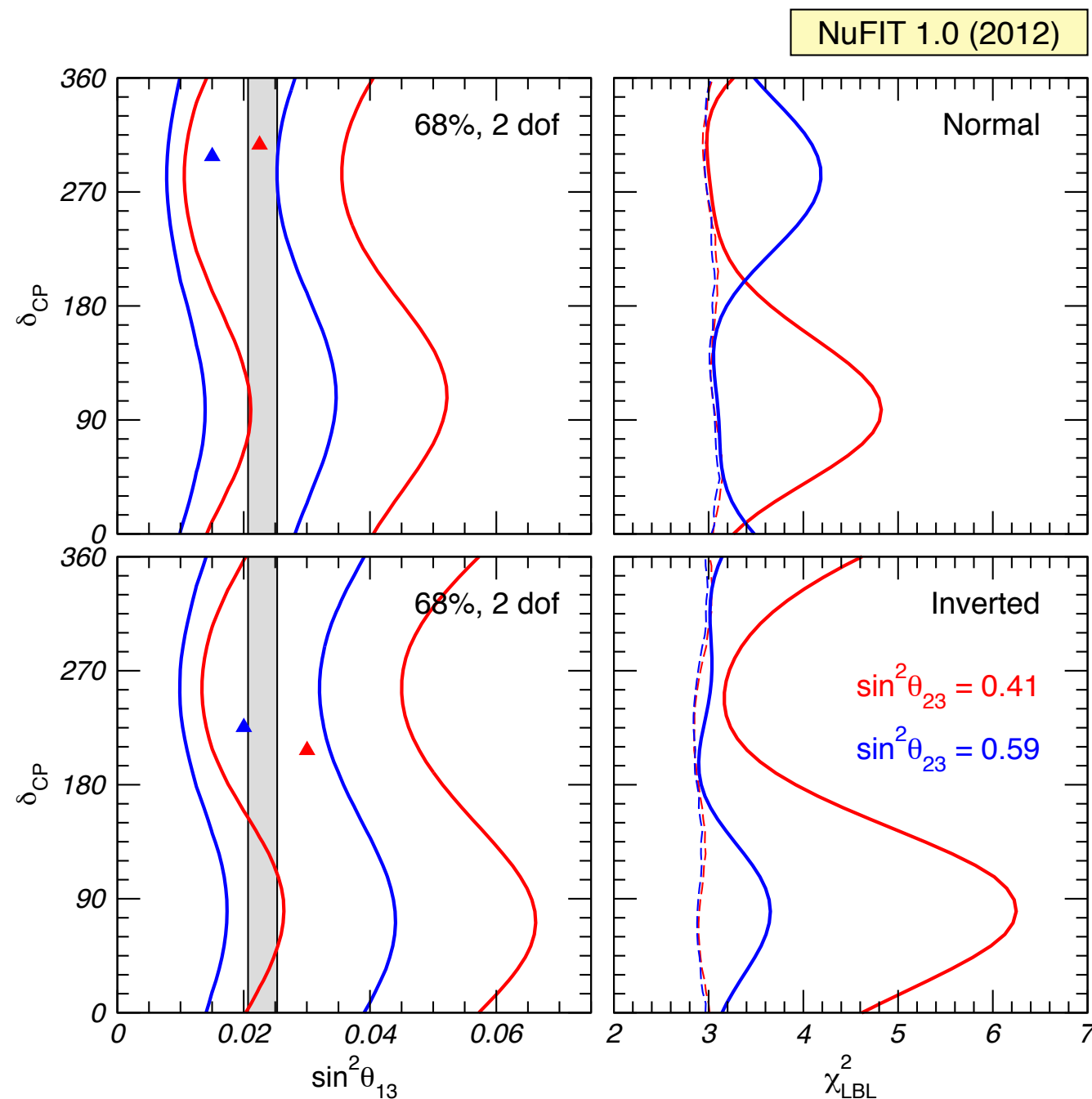
$\Delta m^2_{31,32}$ and the mass ordering

| | Free Fluxes + RSBL | | Huber Fluxes, no RSBL | |
|--|---------------------------|---------------------------|---------------------------|---------------------------|
| | bfp $\pm 1\sigma$ | 3σ range | bfp $\pm 1\sigma$ | 3σ range |
| $\frac{\Delta m^2_{31}}{10^{-3} \text{ eV}^2}$ (N) | $2.47^{+0.069}_{-0.067}$ | $2.27 \rightarrow 2.69$ | $2.49^{+0.055}_{-0.051}$ | $2.29 \rightarrow 2.71$ |
| $\frac{\Delta m^2_{32}}{10^{-3} \text{ eV}^2}$ (I) | $-2.43^{+0.042}_{-0.065}$ | $-2.65 \rightarrow -2.24$ | $-2.47^{+0.073}_{-0.064}$ | $-2.68 \rightarrow -2.25$ |

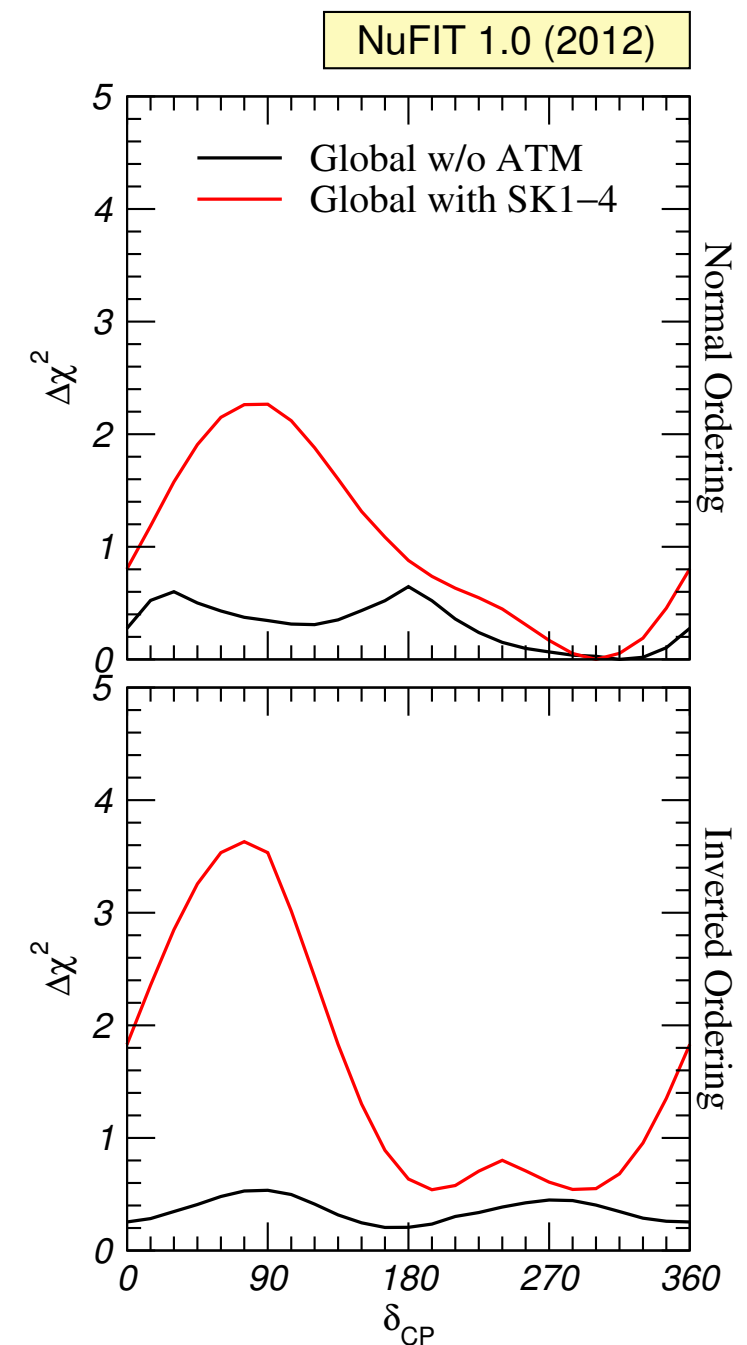


- difference between $|\Delta m^2_{31}|$ and $|\Delta m^2_{32}|$ at the level of 1σ

The CP phase and atmospheric neutrino data

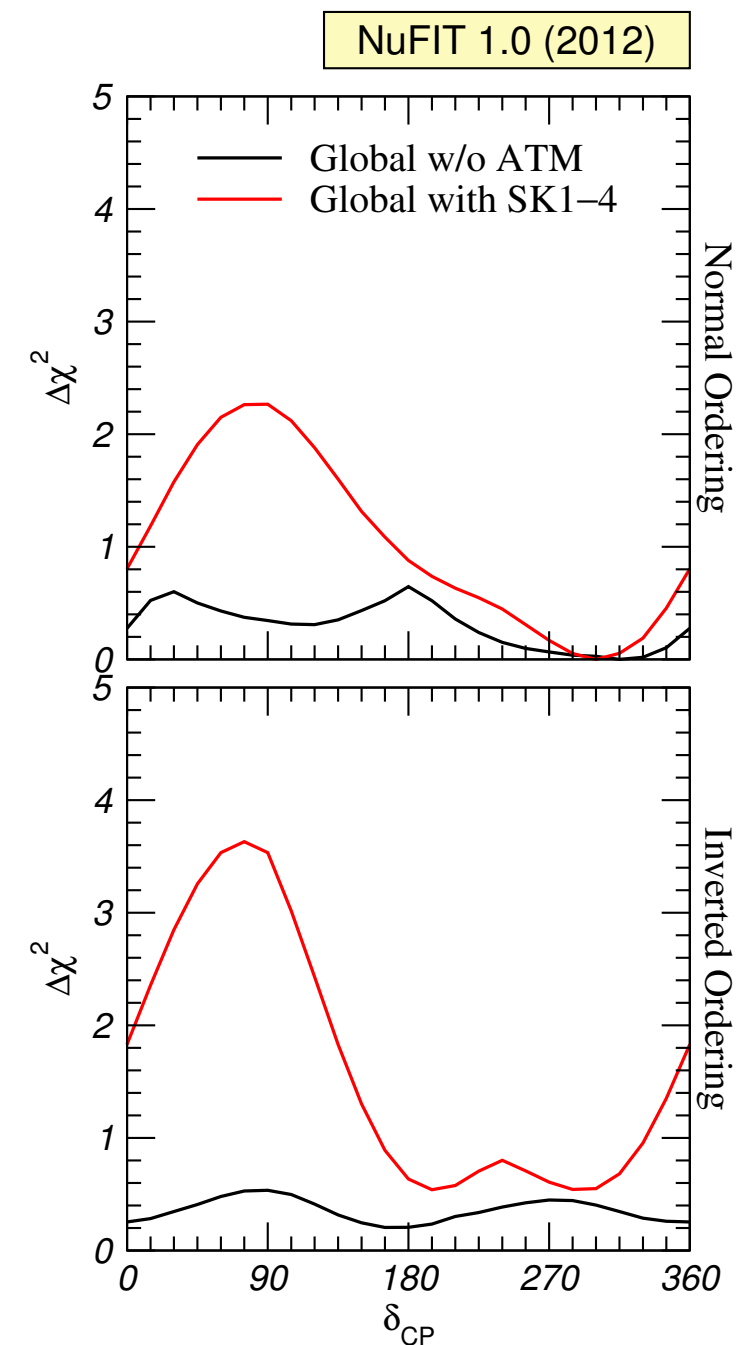
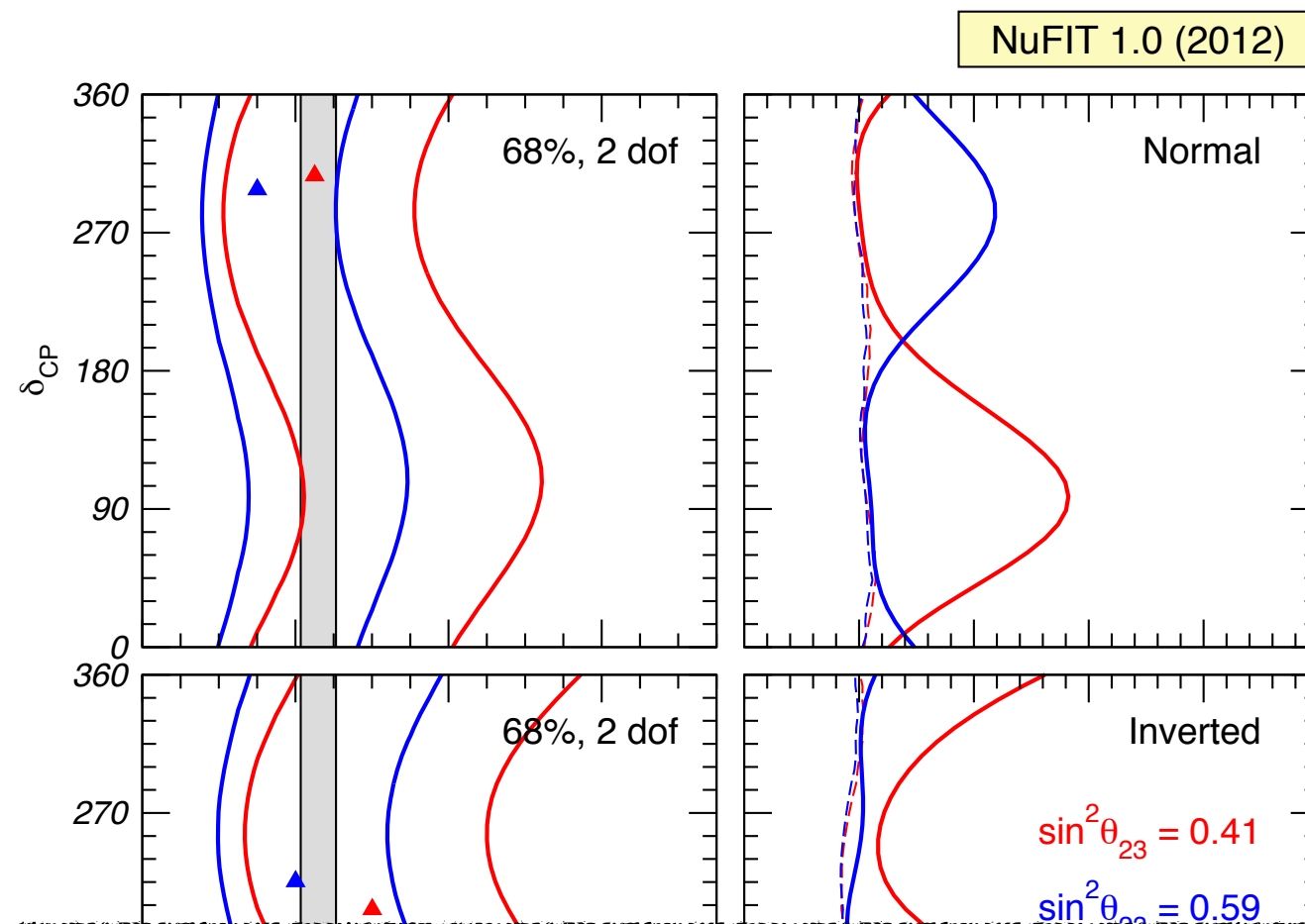


LBL app + react



adding atmospheric

The CP phase and atmospheric neutrino data



- ➔ non-maximality from LBL disapp
- ➔ preference for 1st octant from atm
- ➔ sensitivity to δ from LBL appearance + reactors

adding atmospheric